

## Nonlinear Thomson scattering of intense laser pulses from beams and plasmas

Eric Esarey

*Beam Physics Branch, Plasma Physics Division, Naval Research Laboratory, Washington, D.C. 20375-5346*

Sally K. Ride

*Department of Physics and California Space Institute, University of California, San Diego, La Jolla, California 92093-0319*

Phillip Sprangle

*Beam Physics Branch, Plasma Physics Division, Naval Research Laboratory, Washington, D.C. 20375-5346*

(Received 16 March 1993)

A comprehensive theory is developed to describe the nonlinear Thomson scattering of intense laser fields from beams and plasmas. This theory is valid for linearly or circularly polarized incident laser fields of arbitrary intensities and for electrons of arbitrary energies. Explicit expressions for the intensity distributions of the scattered radiation are calculated and numerically evaluated. The space-charge electrostatic potential, which is important in high-density plasmas and prevents the axial drift of electrons, is included self-consistently. Various properties of the scattered radiation are examined, including the linewidth, angular distribution, and the behavior of the radiation spectra at ultrahigh intensities. Nonideal effects, such as electron-energy spread and beam emittance, are discussed. A laser synchrotron source (LSS), based on nonlinear Thomson scattering, may provide a practical method for generating tunable, near-monochromatic, well-collimated, short-pulse x rays in a compact, relatively inexpensive source. Two examples of possible LSS configurations are presented: an electron-beam LSS generating hard (30-keV, 0.4-Å) x rays and a plasma LSS generating soft (0.3-keV, 40-Å) x rays. These LSS configurations are capable of generating ultrashort ( $\sim 1$ -ps) x-ray pulses with high peak flux ( $\gtrsim 10^{21}$  photons/s) and brightness [ $\gtrsim 10^{19}$  photons/(s mm<sup>2</sup> mrad<sup>2</sup>), 0.1% bandwidth].

PACS number(s): 41.60.Ap, 41.75.Ht, 52.40.Nk

### I. INTRODUCTION

The development of a compact source of tunable, near-monochromatic, well-collimated, short-pulse x rays would have profound and wide ranging applications in a number of areas. These areas include x-ray spectroscopy, microscopy and radiography, medical and biological imaging, x-ray analysis of ultrafast processes, and x-ray holography. One method for producing such an x-ray beam is by the nonlinear Thomson scattering of intense laser pulses from electron beams and plasmas [1–9]. Current methods of x-ray production include third-generation synchrotron sources, which are based on high-energy electron storage rings and undulator magnetic fields [10–17]. Alternatively, x rays can be produced by a laser synchrotron source (LSS), based on nonlinear Thomson scattering, in which the magnetic undulator is replaced by ultrahigh-intensity laser pulses and the electron storage ring is replaced by a compact electron accelerator of substantially lower energy or by a stationary plasma [5–7]. The compactness of the LSS makes it an attractive alternative, particularly at high x-ray energies ( $> 10$  keV), where conventional synchrotrons require very-high-energy ( $> 5$  GeV) storage rings. To generate high peak fluxes of x rays in an LSS, ultraintense laser pulses are necessary. Recent advances in compact, solid-state, short-pulse lasers based on the method of chirped-pulse amplification [18–20] provide the technology for

generating the ultrahigh laser intensities required by an LSS.

In the following, a comprehensive theory is developed to describe the nonlinear Thomson scattering of intense laser fields from beams and plasmas. This theory is valid for linearly or circularly polarized incident laser fields of arbitrary intensities and for electrons of arbitrary energies. Explicit expressions for the intensity distributions of the scattered radiation are calculated and numerically evaluated. The effects of the space-charge electrostatic potential are included self-consistently and nonideal effects, such as electron-energy spread and beam emittance, are discussed. These results are then applied to possible LSS configurations.

An LSS [5–7], using either an electron beam or a plasma, potentially has a number of attractive features: (i) tunable and near-monochromatic x rays can be obtained over the entire x-ray spectrum (from ultraviolet to  $\gamma$  rays), (ii) the x rays can be produced in ultrashort pulses ( $\sim 1$  ps), (iii) a much lower electron beam energy ( $\sim 300$  times less) is needed to produce a given photon energy than in conventional synchrotrons, (iv) the device can be compact and inexpensive compared to conventional synchrotrons, (v) much-higher-energy photons ( $\gtrsim 30$  keV) can be produced than in a conventional synchrotron, (vi) the bandwidth can be small ( $\sim 1\%$ ) and is not limited by the length of the undulator as in conventional synchrotrons, (vii) consequently, narrow-bandwidth x rays can be obtained with long coherence lengths, (viii) the x-ray po-

larization is easily adjusted by changing the incident laser polarization, and (ix) high peak photon flux and brightness can be obtained using current technology. The capability of the LSS in yielding high average fluxes and brightnesses is currently limited by the repetition rates of high-intensity laser systems.

An important parameter in the discussion of LSS radiation and Thomson scattering is the dimensionless laser strength parameter  $a_0$ , which is analogous to the undulator strength parameter  $K$ , frequently used in conventional synchrotron-radiation literature. The laser strength parameter is the normalized amplitude of the vector potential of the incident laser field  $a_0 = eA_0/m_e c^2$  and is related to the intensity  $I_0$  and power  $P_0$  of the incident laser by

$$a_0 = 0.85 \times 10^{-9} \lambda_0 [\mu\text{m}] I_0^{1/2} [\text{W}/\text{cm}^2] \quad (1)$$

and  $P_0 [\text{GW}] = 21.5 (a_0 r_0 / \lambda_0)^2$ , where  $\lambda_0$  is the incident laser wavelength and  $r_0$  is the spot size of the incident laser transverse profile, assumed to be Gaussian. When  $a_0 \ll 1$ , Thomson scattering occurs in the linear regime and radiation is generated at the fundamental frequency  $\omega = \omega_1$ . When  $a_0 \gtrsim 1$ , Thomson scattering occurs in the nonlinear regime and radiation is generated at harmonics in addition to the fundamental, i.e.,  $\omega = \omega_n = n\omega_1$ , where  $n = 1, 2, 3, \dots$  is the harmonic number. Compact laser systems based on chirped-pulse amplification can deliver modest energy ( $\gtrsim 10$  J), ultrashort ( $\lesssim 1$  ps) laser pulses at ultrahigh powers ( $\gtrsim 10$  TW), and intensities ( $\gtrsim 10^{18}$  W/cm<sup>2</sup>). For  $\lambda_0 \sim 1$   $\mu\text{m}$ ,  $a_0 \gtrsim 1$  requires  $I_0 \gtrsim 10^{18}$  W/cm<sup>2</sup>. Hence laser systems which can be used to experimentally explore Thomson scattering in the nonlinear regime currently exist. Furthermore, these powers and intensities are sufficient to produce ultrashort LSS x-ray pulses with high peak fluxes and brightnesses.

In the LSS, two avenues exist for generating short-wavelength radiation. The first is to exploit the relativistic Doppler factor which arises from backscattering laser radiation from a counterstreaming relativistic electron beam. In this case, the wavelength of the fundamental ( $n = 1$ ) backscattered radiation along the axis is given by  $\bar{\lambda} = \lambda_0 \gamma_{\perp}^2 / [(1 + \beta_0) \gamma_0]^2$ , where  $\gamma_0 = (1 - \beta_0^2)^{-1/2}$  is the initial relativistic factor of the electron beam (prior to the laser interaction),  $\beta_0 = v_0/c$  is the initial normalized electron velocity, and  $\gamma_{\perp} = (1 + a_0^2/2)^{1/2}$ . Hence, for  $\gamma_0 \gg 1$  and  $a_0^2 \ll 1$ ,  $\bar{\lambda} \simeq \lambda_0 / 4\gamma_0^2$  and extremely-short-wavelength radiation can be generated. In practical units, the photon energy  $E_p = \hbar\bar{\omega}$  and wavelength  $\bar{\lambda}$  of the fundamental backscattered radiation are given by

$$E_p [\text{keV}] = \frac{0.019 E_b^2 [\text{MeV}]}{(1 + a_0^2/2) \lambda_0 [\mu\text{m}]}, \quad (2a)$$

$$\bar{\lambda} [\text{\AA}] = 650 \lambda_0 [\mu\text{m}] \frac{(1 + a_0^2/2)}{E_b^2 [\text{MeV}]}, \quad (2b)$$

where  $E_b$  is the electron beam energy and  $\gamma_0^2 \gg 1$  has been assumed. For a conventional synchrotron source [9–16] using an undulator magnet,  $\bar{\lambda} = \lambda_u / 2\gamma_0^2$ , or  $E_p [\text{keV}] = 0.95 E_b^2 [\text{GeV}] / \lambda_u [\text{cm}]$  and  $\bar{\lambda} [\text{\AA}]$

$= 13.0 \lambda_u [\text{cm}] / E_b^2 [\text{GeV}]$ , where  $\lambda_u$  is the undulator magnet wavelength and  $K^2 \ll 1$  and  $\gamma_0^2 \gg 1$  have been assumed. Since the laser wavelength in the LSS ( $\lambda_0 \sim 1$   $\mu\text{m}$ ) is more than four orders of magnitude shorter than the wavelength of a conventional undulator magnet ( $\lambda_u \gtrsim 4$  cm), a much-lower-energy electron beam ( $\sim 300$  times less) can be used in the LSS to produce a given photon energy. Hence, compared to a conventional storage-ring-based synchrotron, the LSS can be a compact, inexpensive device, particularly at high photon energies ( $E_p > 10$  keV). As an example, consider synchrotron sources producing 30-keV photons ( $\lambda = 0.40$   $\text{\AA}$ ), assuming  $a_0^2 \ll 1$  and  $K^2 \ll 1$ . In a conventional synchrotron using a  $\lambda_u = 4$  cm undulator period, electron beam energies of  $E_b \gtrsim 12$  GeV are needed. In the LSS using a  $\lambda_0 = 1$   $\mu\text{m}$  laser,  $E_b = 40$  MeV, which is typical of the energies available from compact accelerators, such as rf linear accelerators (linacs) or betatrons.

The second avenue to short wavelengths is to exploit the harmonic frequency upshift factor  $\lambda = \lambda_1/n$ , where  $\lambda_1$  is the wavelength of the fundamental. For  $a_0^2 \gg 1$ , numerous harmonics are generated. The result is a near continuum of scattered radiation with harmonics extending out to some critical harmonic number  $n_c \sim a_0^3$ , beyond which the intensity of the scattered radiation rapidly decreases. Hence an ultraintense laser incident on a stationary plasma ( $\gamma_0 = 1$ ) can generate short-wavelength radiation  $\lambda = \lambda_0/n$ . The critical photon energy for a plasma-based LSS is given by

$$E_p [\text{eV}] = 1.24 n_c / \lambda_0 [\mu\text{m}], \quad (3)$$

where  $n_c \sim a_0^3$ . Assuming laser technology limits  $a_0 \lesssim 10$  and  $\lambda_0 \sim 1$   $\mu\text{m}$  implies that the scattered radiation is limited to  $\lambda \gtrsim 10$   $\text{\AA}$  and  $E_p \lesssim 1$  keV. Hence a plasma-based LSS is limited by present laser technology to the soft- to medium-x-ray regime.

Tunability of the LSS radiation can be achieved by adjusting either the electron energy or the laser intensity, as indicated by Eqs. (2) and (3). Neglecting thermal effects, it can be shown that the linewidth of the scattered radiation for a particular  $n$  harmonic of frequency  $\omega_n$  is given by  $\Delta\omega/\omega_n = 1/nN_0$ , where  $N_0$  is the number of laser periods with which the electron interacts. In principle, since  $N_0$  is typically large ( $N_0 \gtrsim 300$ ), narrow-linewidth x rays can be generated. In practice, the linewidth will be limited by thermal effects. For example, the normalized energy spread associated with an electron beam  $\Delta E/E_b$  limits the linewidth to  $\Delta\omega/\omega_n \simeq 2\Delta E/E_b$ . An additional advantage of generating LSS radiation using an electron beam is that the scattered radiation is well collimated about the backscattered direction (i.e., the direction of the electron beam). For an electron beam with  $\gamma_0 \gg 1$  and  $a_0 < 1$ , the backscattered radiation with linewidth  $\Delta\omega/\omega \simeq 1/N_0$  is confined to a radiation cone of half-angle  $\theta \simeq 1/(\gamma_0 \sqrt{N_0})$ . For a plasma with  $a_0 > 1$ , the radiation is scattered over a much larger angle. When  $a_0 \gg 1$ , numerous harmonics are generated, and tunability is achieved by filtering the scattered radiation. An additional advantage in using a plasma is that very high electron densities can be achieved in comparison to densi-

ties obtainable in electron beams. The scattered power, as well as photon flux and brightness, scale linearly with density; hence the use of high electron densities is favored.

Thomson scattering theory is a classical description which is valid provided the scattered photon energy is small compared to the electron energy, i.e.,  $\hbar\omega \ll \gamma_0 m_e c^2$ . For a plasma, this implies photon energies less than 500 keV. For an electron beam with  $\gamma_0 \gg 1$ ,  $\lambda_0 = 1 \mu\text{m}$ , and  $a_0 < 1$ , this implies  $\gamma_0 < 10^5$ , i.e., electron beam energies less than 50 GeV. Nonlinear Thomson scattering of intense radiation from a single electron initially at rest was examined analytically in considerable detail in the classic work of Sarachik and Schappert [1]. (This work was recently reexamined by Castillo-Herrera and Johnston [9].) However, the important effects of the space-charge potential [2,21], which arises in high-density plasmas, was neglected and scattering from electron beams was not discussed. Waltz and Manley [2] also discussed Thomson scattering from plasmas and pointed out that the space-charge potential was important in preventing the drift of electrons in the direction of the incident laser. However, explicit expressions for the scattered intensity distribution for arbitrary  $a_0$  were not calculated and scattering from electron beams was not considered. Many authors [10–17] have analyzed the production of synchrotron radiation in the interaction of relativistic electron beams with static magnetic undulator and wiggler fields, a process which is somewhat similar to Thomson scattering. These analyses require that  $K/\gamma_0 \ll 1$  (analogous to  $a_0/\gamma_0 \ll 1$ ), an assumption which need not be made in the analysis of nonlinear Thomson scattering. In this paper, nonlinear Thomson scattering of intense laser fields from electron beams and from plasmas is examined analytically and numerically. This analysis is valid for linearly and circularly polarized incident laser fields of arbitrary intensities and for electron beams of arbitrary energies (up to the limits of classical theory). The effects of the space-charge potential are included self-consistently and various nonideal effects, such as electron energy spread, are discussed.

The remainder of this paper is organized as follows. In Sec. II, the orbits of electrons in intense laser fields, both linearly and circularly polarized, are calculated including the effects of the self-consistent electrostatic potential. Explicit expressions for the scattered intensity distributions are derived in Sec. III. These are general expressions, valid for electron beams and plasmas and for arbitrary laser intensities. Properties of the scattered radiation are examined in Sec. IV, including a calculation of the total power radiated from an electron beam or a plasma, an examination of the resonance function, and the behavior of the radiation spectra in the ultraintense regime, i.e.,  $a_0^2 \gg 1$ . Various nonideal effects are discussed in Sec. V, including the effects of electron-energy spread, electron-beam energy loss, ponderomotive density depletion, and plasma dispersion. These results are applied to possible LSS configurations in Sec. VI, and specific examples of an electron-beam LSS and a plasma LSS are presented. Section VII is the conclusion.

## II. ELECTRON MOTION IN INTENSE LASER FIELDS

The laser field and space-charge field of the electrons can be represented using the normalized vector and scalar potentials  $\mathbf{a} = e \mathbf{A} / m_e c^2$  and  $\hat{\Phi} = e \Phi / m_e c^2$ , respectively, where  $m_e$  is the electron mass and  $e$  is the magnitude of the electron charge. In the Coulomb gauge,  $\nabla \cdot \mathbf{a} = 0$  implies  $a_z = 0$  in one dimension (1D). Then,  $a_{\perp}$  represents the laser field and  $\hat{\Phi}$  represents the space-charge field of the plasma. The normalized vector potential of a laser of arbitrary polarization is represented by

$$\mathbf{a} = (a_0 / \sqrt{2}) [(1 + \delta_p)^{1/2} \cos k_0 \eta \mathbf{e}_x + (1 - \delta_p)^{1/2} \sin k_0 \eta \mathbf{e}_y], \quad (4)$$

where  $k_0 = 2\pi/\lambda_0$  is the wave number of the laser field,  $\eta = z + ct$ ,  $\delta_p = 1$  for linear polarization, and  $\delta_p = 0$  for circular polarization. Using this representation,  $(a^2)_s = a_0^2/2$  for both linear and circular polarizations, where the subscript  $s$  signifies the slow component (an averaging over the laser wavelength). Hence the average laser power  $P_0 \sim (a^2)_s$  is constant for a given value of  $a_0$ , independent of polarization, i.e.,  $P_0 [\text{GW}] = 21.5 (a_0 r_0 / \lambda_0)^2$ , assuming a Gaussian transverse profile of the form  $|a| \sim \exp(-r^2/r_0^2)$ . In the following, the laser field is assumed to be moving to the left ( $-z$  direction) and the electrons are initially (prior to the interaction with the laser field) moving to the right ( $+z$  direction) with an initial axial velocity  $v_z = v_0$  (see Fig. 1).

The electron motion in the fields  $\mathbf{a}$  and  $\hat{\Phi}$  is governed by the relativistic Lorentz equation, which may be written in the form

$$\frac{1}{c} \frac{d}{dt} \mathbf{u} = \nabla \hat{\Phi} + \frac{1}{c} \frac{\partial}{\partial t} \mathbf{a} - \boldsymbol{\beta} \times (\nabla \times \mathbf{a}), \quad (5)$$

where  $\boldsymbol{\beta} = \mathbf{v}/c$  is the normalized electron velocity,  $\mathbf{u} = \mathbf{p}/m_e c = \gamma \boldsymbol{\beta}$  is the normalized electron momentum, and  $\gamma = (1 + u^2)^{1/2} = (1 - \beta^2)^{-1/2}$  is the relativistic factor. Assuming that the laser field  $\mathbf{a}_{\perp}$  and hence the quantities  $\hat{\Phi}$ ,  $\boldsymbol{\beta}$ ,  $\mathbf{u}$ , and  $\gamma$ , are functions only of the variable  $\eta = z + ct$ , Eq. (5) implies the existence of two constants of the motion [21,22]

$$\frac{d}{d\eta} (\mathbf{u}_{\perp} - \mathbf{a}_{\perp}) = 0, \quad (6a)$$

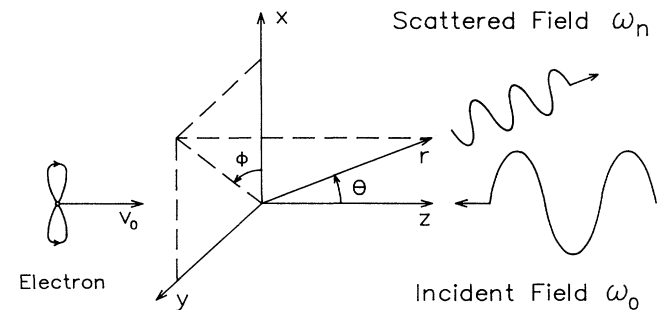


FIG. 1. Schematic diagram showing the Thomson scattering of an intense laser field from a free electron.

$$\frac{d}{d\eta}(\gamma + u_z - \hat{\Phi}) = 0. \quad (6b)$$

Equation (6a) is conservation of canonical transverse momentum in 1D, and Eq. (6b) can be interpreted as conservation of energy in the wave frame. Equations (6a) and (6b) can be integrated to give [21,22]

$$\mathbf{u}_\perp = \mathbf{a}_\perp, \quad (7a)$$

$$\gamma + u_z - \hat{\Phi} = \gamma_0(1 + \beta_0), \quad (7b)$$

where, prior to the laser interaction ( $\mathbf{a}_\perp = 0$ ),  $\mathbf{u}_\perp = \hat{\Phi} = 0$ ,  $\gamma = \gamma_0$ , and  $u_z = \gamma_0\beta_0$  have been assumed. The two constants of the motion, Eqs. (7a) and (7b), completely describe the nonlinear motion of electrons in the potentials  $\mathbf{a}$  and  $\hat{\Phi}$ . They allow the electron motion to be specified solely in terms of the fields, i.e.,

$$\beta_z = \frac{h_0^2 - (1 + a^2)}{h_0^2 + (1 + a^2)}, \quad (8a)$$

$$\gamma = (h_0^2 + 1 + a^2)/2h_0, \quad (8b)$$

$$\beta_\perp = \mathbf{a}_\perp/\gamma, \quad (8c)$$

where  $h_0 = \gamma_0(1 + \beta_0) + \hat{\Phi}$ .

The self-consistent space-charge potential of the electrons  $\hat{\Phi}$  can be determined using the continuity equation and Poisson's equation,

$$\frac{1}{c} \frac{\partial}{\partial t} n_e + \nabla \cdot (n_e \boldsymbol{\beta}) = 0, \quad (9a)$$

$$\nabla^2 \hat{\Phi} = k_p^2 (n_e/n_0 - 1), \quad (9b)$$

where  $n_e$  is the electron density,  $k_p = \omega_p/c$ ,  $\omega_p = (4\pi e^2 n_0/m_e)^{1/2}$  is the plasma frequency, and  $n_0$  is the ambient density. Equation (9b) assumes that the initial equilibrium (prior to the laser pulse) space-charge potential  $\hat{\Phi}^{(0)}$  is negligible. For a plasma, a neutralizing background of stationary ions is assumed, i.e.,  $\hat{\Phi}^{(0)} = 0$ . For a long, uniform electron beam of radius  $r_b$ ,  $|\hat{\Phi}^{(0)}| \lesssim k_p^2 r_b^2/4 = v_b$ , where  $v_b = I_b/I_{b0}$  is the Budker parameter,  $I_b$  is the beam current, and  $I_{b0}[\text{kA}] = 17\beta_z$ . Since  $v_b \ll 1$  for beams of interest,  $\hat{\Phi}^{(0)}$  can be neglected. Assuming  $n_e = n_e(\eta)$ , Eq. (9a) implies [21,22]

$$\frac{d}{d\eta} [n_e(1 + \beta_z)] = 0; \quad (10)$$

hence  $n_e = n_0(1 + \beta_0)/(1 + \beta_z)$ . Substituting this result into Eq. (9b) and using Eq. (8a) give [21,22]

$$\frac{d^2}{d\eta^2} \Psi = \frac{\hat{k}_p^2}{2} \left[ \frac{(1 + a^2)}{(1 + \Psi)^2} - 1 \right], \quad (11)$$

where  $\Psi = \hat{\Phi}/\gamma_0(1 + \beta_0)$  and  $\hat{k}_p = k_p/\gamma_0^{3/2}(1 + \beta_0)$ .

Equation (11) describes the self-consistent electrostatic potential induced by the interaction of the laser field. The solution for  $\Psi$  is, in general, highly nonlinear. Simple solutions can be obtained in two limits in which the characteristic temporal variation of the laser envelope  $\tau_L$  (typically the laser rise time) is compared to an effective plasma period  $(c\hat{k}_p)^{-1}$ . In the short-pulse limit

$\tau_L \ll (c\hat{k}_p)^{-1}$ , Eq. (11) implies  $|\Psi| \ll 1$  provided  $a_0 < 2/c\tau_L\hat{k}_p$ , where  $a_0$  is the amplitude of the laser pulse, e.g.,  $a = a_0 \cos k_0 \eta$ . In the long-pulse limit  $\tau_L \gg (c\hat{k}_p)^{-1}$ , the left-hand side of Eq. (11) can be neglected and it can be shown that  $\Psi \approx (1 + a^2)_s^{1/2} - 1$ , where the subscript  $s$  signifies the slow part. Throughout the following, the quantity  $(1 + a^2)_s^{1/2} \approx (1 + a_0^2/2)^{1/2}$  will be approximated as nearly constant, i.e.,  $|d(a^2)_s/d\eta| \ll k_0(a^2)_s$ , which implies that  $L_0 \gg \lambda_0$ , where  $L_0 = c\tau_L$  is the length of the laser envelope.

For applications which utilize intense lasers with pulse lengths  $\tau_L \sim 1$  ps, the short-pulse limit is relevant to interactions with electron beams as long as the beam density is sufficiently low,  $n_0/\gamma_0^3 \ll 10^{16} \text{ cm}^{-3}$ . On the other hand, the long-pulse limit is relevant to interactions with stationary ( $\gamma_0 = 1$ ) plasmas as long as the density is sufficiently high,  $n_0 \gg 10^{16} \text{ cm}^{-3}$ . Under these conditions, the parameter  $h_0 = \gamma_0(1 + \beta_0)(1 + \Psi)$  is given by

$$h_0 = \begin{cases} \gamma_0(1 + \beta_0), & e \text{ beam (short pulse)} \\ (1 + a_0^2/2)^{1/2}, & \text{plasma (long pulse)}. \end{cases} \quad (12)$$

Notice that in the limit of a low-density plasma with  $n_0 \ll 10^{16}$ ,  $|\hat{\Phi}| \ll 1$  and  $h_0 \approx 1$ . This corresponds to the single-particle limit considered in Ref. [1].

The electron orbits  $\mathbf{r}(\eta) = x\mathbf{e}_x + y\mathbf{e}_y + z\mathbf{e}_z$  can be calculated as a function of  $\eta$  using Eqs. (8a)–(8c) and the relation

$$\frac{1}{c} \frac{d\mathbf{r}}{dt} = \boldsymbol{\beta} = (1 + \beta_z) \frac{d\mathbf{r}}{d\eta}, \quad (13)$$

which gives  $d\mathbf{r}/d\eta = \mathbf{u}/h_0$ . For a linearly polarized laser of the form given by Eq. (4) with  $\delta_p = 1$ , the electron orbits are given by

$$u_x = a_0 \cos k_0 \eta, \quad (14a)$$

$$u_y = 0, \quad (14b)$$

$$u_z = [h_0^2 - (1 + a_0^2 \cos^2 k_0 \eta)]/2h_0. \quad (14c)$$

Hence

$$x(\eta) = x_0 + r_1 \sin k_0 \eta, \quad (15a)$$

$$y(\eta) = y_0, \quad (15b)$$

$$z(\eta) = z_0 + \beta_1 \eta + z_1 \sin 2k_0 \eta, \quad (15c)$$

where additional terms of order  $\lambda_0/L_0$  have been neglected and

$$r_1 = a_0/h_0 k_0, \quad (16a)$$

$$z_1 = -a_0^2/8h_0^2 k_0, \quad (16b)$$

$$\beta_1 = (1 - 1/M_0)/2, \quad (16c)$$

with  $M_0 = h_0^2/(1 + a_0^2/2)$ , i.e.,

$$M_0 = \begin{cases} \gamma_0^2(1 + \beta_0)^2/(1 + a_0^2/2), & e \text{ beam} \\ 1, & \text{plasma}. \end{cases} \quad (17)$$

Similarly, for a circular polarized laser ( $\delta_p = 0$ ), the electron orbits are given by

$$u_x = (a_0/\sqrt{2})\cos k_0\eta, \quad (18a)$$

$$u_y = (a_0/\sqrt{2})\sin k_0\eta, \quad (18b)$$

$$u_z = [h_0^2 - (1 + a_0^2/2)]/2h_0. \quad (18c)$$

Hence

$$x(\eta) = x_0 + (r_1/\sqrt{2})\sin k_0\eta, \quad (19a)$$

$$y(\eta) = y_0 - (r_1/\sqrt{2})\cos k_0\eta, \quad (19b)$$

$$z(\eta) = z_0 + \beta_1\eta, \quad (19c)$$

where, again, additional terms of order  $\lambda_0/L_0$  have been neglected. In the above equations,  $(x_0, y_0, z_0)$  are related to the initial position of the electron.

The axial drift velocity of the electrons  $\bar{\beta}_z$  can be written in terms of the parameter  $\beta_1$ . Since  $\eta = z + ct$ , Eq. (19c) implies that  $z = (z_0 + \beta_1 ct)/(1 - \beta_1)$ . Hence

$$\bar{\beta}_z = \beta_1/(1 - \beta_1) = (M_0 - 1)/(M_0 + 1) \quad (20)$$

is the average normalized velocity of the electrons in the axial direction. Notice that in the dense-plasma (long-pulse) limit,  $M_0 = 1$ , and  $\bar{\beta}_z = 0$ . For a low-density plasma in the single-particle limit  $M_0 = (1 + a_0^2/2)^{-1}$  and  $\bar{\beta}_z = -(a_0^2/2)/(2 + a_0^2/2)$ . Hence, in the single-particle limit, a single electron initially at rest receives a finite average drift velocity due to the ponderomotive force associated with the rise of the incident laser pulse, as pointed out in Ref. [1]. For an electron in a dense plasma (long-pulse limit),  $\bar{\beta}_z = 0$  and there is no average axial motion of the electrons [2,21,22]. Physically,  $\bar{\beta}_z = 0$  is achieved through a balance between the ponderomotive force and the space-charge force set up during the rise of the laser pulse.

The self-consistent electron density in the presence of the laser field can be calculated using the constant of motion  $n_e(1 + \beta_z) = n_0(1 + \beta_0)$ . This can be written in terms of the parameter  $h_0$  as

$$n_e = n_0(1 + \beta_0)(h_0^2 + 1 + a^2)/2h_0^2. \quad (21)$$

Of particular interest is the slow part ( $\eta$  averaged) of the density  $n_{es}$ . For a tenuous electron beam (short-pulse limit),  $h_0 = \gamma_0(1 + \beta_0)$  and  $n_{es} \simeq n_0$ , assuming  $h_0^2 \gg (1 + a_0^2/2)$ . For a dense plasma (long-pulse limit),  $h_0 = (1 + a_0^2/2)^{1/2}$  and  $n_{es} = n_0$ . However, this is not the case for a plasma in the single-particle regime. For a tenuous plasma in the short-pulse limit,  $h_0 = 1$  and  $n_{es} = n_0(1 + a_0^2/4)$ . In this regime, the plasma density is enhanced due the ponderomotive force associated with the rise of the laser pulse and the resulting finite axial drift motion of the electrons  $\bar{\beta}_z$ .

The above results have assumed the 1D limit, which is valid when  $r_0 \gg \lambda_0$  and when the quiver motion is much greater than the ponderomotive motion. In three dimensions (3D), the ponderomotive motion  $\delta\mathbf{u} = \mathbf{u} - \mathbf{a}$  is given [23] by  $\partial\delta\mathbf{u}/\partial\eta = \nabla(\phi - \gamma)$ . The quasistatic approximation implies that the quantity  $\gamma + u_z - \phi - a_z$  is a constant of the motion, which is the 3D generalization of Eq. (7b). For a plasma, it follows that  $|\delta u|/|a| \lesssim \lambda_p a_0/r_0$ , whereas for a relativistic electron beam,  $|\delta u|/|a| \lesssim L_0 a_0/\gamma_0 r_0$ .

The ponderomotive motion can be neglected when  $|\delta u|/|a| \ll 1$ , which is true in the cases discussed below.

### III. SCATTERED RADIATION

The energy spectrum of the radiation emitted by a single electron in an arbitrary orbit  $\mathbf{r}(t)$  and  $\boldsymbol{\beta}(t)$  can be calculated from the Lienard-Wiechert potentials [24],

$$\frac{d^2 I}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c} \left| \int_{-T/2}^{T/2} dt [\mathbf{n} \times (\mathbf{n} \times \boldsymbol{\beta})] \times \exp[i\omega(t - \mathbf{n} \cdot \mathbf{r}/c)] \right|^2, \quad (22)$$

where  $d^2 I/d\omega d\Omega$  is the energy radiated per frequency  $\omega$  per solid angle  $\Omega$  during the interaction time  $T$  and  $\mathbf{n}$  is a unit vector pointing in the direction of observation. Introducing the spherical coordinates  $(r, \theta, \phi)$  and unit vectors  $(\mathbf{e}_r, \mathbf{e}_\theta, \mathbf{e}_\phi)$ , where  $x = r \sin\theta \cos\phi$ ,  $y = r \sin\theta \sin\phi$ ,  $z = r \cos\theta$ , and

$$\mathbf{e}_r = \sin\theta \cos\phi \mathbf{e}_x + \sin\theta \sin\phi \mathbf{e}_y + \cos\theta \mathbf{e}_z, \quad (23a)$$

$$\mathbf{e}_\theta = \cos\theta \cos\phi \mathbf{e}_x + \cos\theta \sin\phi \mathbf{e}_y - \sin\theta \mathbf{e}_z, \quad (23b)$$

$$\mathbf{e}_\phi = -\sin\phi \mathbf{e}_x + \cos\phi \mathbf{e}_y, \quad (23c)$$

and by identifying  $\mathbf{e}_r = \mathbf{n}$ , give

$$\mathbf{n} \times (\mathbf{n} \times \boldsymbol{\beta}) = -(\beta_x \cos\theta \cos\phi + \beta_y \cos\theta \sin\phi - \beta_z \sin\theta) \mathbf{e}_\theta + (\beta_x \sin\phi - \beta_y \cos\phi) \mathbf{e}_\phi, \quad (24a)$$

$$\mathbf{n} \cdot \mathbf{r} = x \sin\theta \cos\phi + y \sin\theta \sin\phi + z \cos\theta. \quad (24b)$$

The scattered radiation will be polarized in the direction of  $\mathbf{n} \times (\mathbf{n} \times \boldsymbol{\beta})$ . Hence  $I = I_\theta + I_\phi$ , where  $I_\theta$  and  $I_\phi$  are the energies radiated with polarizations in the  $\mathbf{e}_\theta$  and  $\mathbf{e}_\phi$  directions, respectively. In terms of the independent variable  $\eta = z + ct$ ,

$$\frac{d^2 I_\theta}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} \left| \int_{-\eta_0}^{\eta_0} d\eta \left[ \frac{dx}{d\eta} \cos\theta \cos\phi + \frac{dy}{d\eta} \cos\theta \sin\phi - \frac{dz}{d\eta} \sin\theta \right] \exp(i\psi) \right|^2, \quad (25a)$$

$$\frac{d^2 I_\phi}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} \left| \int_{-\eta_0}^{\eta_0} d\eta \left[ \frac{dx}{d\eta} \sin\phi - \frac{dy}{d\eta} \cos\phi \right] \exp(i\psi) \right|^2, \quad (25b)$$

where

$$\psi = k[\eta - z(1 + \cos\theta) - x \sin\theta \cos\phi - y \sin\theta \sin\phi], \quad (26)$$

$k = \omega/c$ ,  $\eta_0 = L_0/2$ ,  $L_0$  is the laser-pulse length, and  $L_0 \gg \lambda_0 = 2\pi/k_0$  has been assumed. In deriving the above expressions, the relation  $c\boldsymbol{\beta}dt = (d\mathbf{r}/d\eta)d\eta$  was used, where  $\mathbf{r} = \mathbf{r}(\eta)$  is given by Eqs. (15) and (19).

#### A. Linear polarization

The electron orbit for a linearly polarized incident laser field of the form given by Eq. (4) with  $\delta_p = 1$  is given

by Eq. (15). The phase  $\psi$  can be written as

$$\psi = \psi_0 + [1 - \beta_1(1 + \cos\theta)]k\eta - (kr_1 \sin\theta \cos\phi) \sin k_0 \eta - [kz_1(1 + \cos\theta)] \sin 2k_0 \eta, \quad (27a)$$

$$\psi_0 = -k[z_0(1 + \cos\theta) + x_0 \sin\theta \cos\phi + y_0 \sin\theta \sin\phi]. \quad (27b)$$

Using the Bessel identity

$$\exp(ib \sin\sigma) = \sum_{n=-\infty}^{\infty} J_n(b) \exp(in\sigma), \quad (28)$$

where  $J_n$  are Bessel functions, allows the phase factor  $\exp[i(\psi + lk_0\eta)]$  to be written as

$$\exp[i(\psi + lk_0\eta)] = \sum_{m,n=-\infty}^{\infty} J_m(\hat{\alpha}_z) J_{n-2m+1}(\hat{\alpha}_x) \times \exp[i(\psi_0 + \bar{k}\eta)], \quad (29)$$

where

$$\bar{k} = k[1 - \beta_1(1 + \cos\theta)] - nk_0, \quad (30a)$$

$$\hat{\alpha}_z = kz_1(1 + \cos\theta), \quad (30b)$$

$$\hat{\alpha}_x = kr_1 \sin\theta \cos\phi. \quad (30c)$$

In order to evaluate Eqs. (25a) and (25b), it is necessary to evaluate the integrals

$$\hat{I}_{(x,y,z)} = \int_{-\eta_0}^{\eta_0} d\eta \frac{d(x,y,z)}{d\eta} \exp(i\psi). \quad (31)$$

Using the orbits, Eq. (15), along with the identities in Eqs. (28) and (29),

$$\hat{I}_x = k_0 r_1 e^{i\psi_0} \sum_{m,n=-\infty}^{\infty} \left[ \frac{\sin \bar{k} \eta}{\bar{k}} \right] J_m(\hat{\alpha}_z) \times [J_{n-2m-1}(\hat{\alpha}_x) + J_{n-2m+1}(\hat{\alpha}_x)], \quad (32a)$$

$$\hat{I}_z = 2e^{i\psi_0} \sum_{m,n=-\infty}^{\infty} \left[ \frac{\sin \bar{k} \eta}{\bar{k}} \right] J_m(\hat{\alpha}_z) \times \{ \beta_1 J_{n-2m}(\hat{\alpha}_z) + k_0 z_1 [J_{n-2m-2}(\hat{\alpha}_z) + J_{n-2m+2}(\hat{\alpha}_z)] \}, \quad (32b)$$

and  $\hat{I}_y = 0$ , where

$$\frac{d^2 I_\theta}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} |\hat{I}_x \cos\theta \cos\phi - \hat{I}_z \sin\theta|^2, \quad (33a)$$

$$\frac{d^2 I_\phi}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} |\hat{I}_x \sin\phi|^2. \quad (33b)$$

The frequency width of the radiation spectrum for a given harmonic is determined by the resonance function  $R(k, nk_0)$ , where

$$R(k, nk_0) = \left[ \frac{\sin \bar{k} \eta_0}{\bar{k} \eta_0} \right]^2. \quad (34)$$

This function is sharply peaked about the resonant frequency  $\omega_n$  given by  $\bar{k} = 0$ ,

$$\omega_n = \frac{n\omega_0}{1 - \beta_1(1 + \cos\theta)}. \quad (35)$$

The width of the spectrum  $\Delta\omega$  about  $\omega_n$  is given by  $\Delta\omega/\omega_n = 1/nN_0$ , where  $N_0 = L_0/\lambda_0$  is the number of periods of the laser field with which the electron interacts.

Since the frequency spectra for two different harmonics  $n$  and  $n'$  are sufficiently well separated, the summations in Eqs. (32a) and (32b) may be simplified to yield

$$\frac{d^2 I}{d\omega d\Omega} = \sum_{n=1}^{\infty} \frac{e^2 k^2}{4\pi^2 c} \left[ \frac{\sin \bar{k} \eta_0}{\bar{k}} \right]^2 \times [C_x^2 (1 - \sin^2\theta \cos^2\phi) + C_z^2 \sin^2\theta - C_x C_z \sin 2\theta \cos\phi], \quad (36)$$

where

$$C_x = \sum_{m=-\infty}^{\infty} (-1)^m k_0 r_1 J_m(\alpha_z) \times [J_{n-2m-1}(\alpha_x) + J_{n-2m+1}(\alpha_x)], \quad (37a)$$

$$C_z = \sum_{m=-\infty}^{\infty} (-1)^m 2J_m(\alpha_z) \times \{ \beta_1 J_{n-2m}(\alpha_z) + k_0 z_1 [J_{n-2m-2}(\alpha_x) + J_{n-2m+2}(\alpha_x)] \}, \quad (37b)$$

and

$$\alpha_z = \frac{na_0^2(1 + \cos\theta)}{8h_0^2[1 - \beta_1(1 + \cos\theta)]}, \quad (38a)$$

$$\alpha_x = \frac{na_0 \sin\theta \cos\phi}{h_0[1 - \beta_1(1 + \cos\theta)]}. \quad (38b)$$

In deriving the above expressions, the approximation  $\omega \simeq \omega_n$  was made in the arguments of the Bessel functions  $\alpha_x$  and  $\alpha_z$ .

Plots of the normalized amplitude of the scattered intensity  $d^2 I/d\omega d\Omega$  versus normalized frequency  $\omega/4\gamma_0^2\omega_0$  and normalized observation angle  $\gamma_0\theta$  are shown in Figs. 2(a) and 2(b) for the case of a linearly polarized laser ( $N_0=7$ ) interacting with a counterpropagating relativistic electron ( $\gamma_0=5$ ). The intensity is shown in the plane of electron motion  $\phi=0$ , i.e.,  $\theta$  is the "horizontal" observation angle ( $\theta=0$  is along the  $z$  axis, the axis of propagation). Figure 2(a) shows the intensity in the first two harmonics for  $a_0=0.5$ . Significant radiation occurs only at the fundamental ( $n=1$ ). The intensity of the fundamental peaks on axis with a frequency shifted slightly from the low-intensity Thomas backscattered value of  $4\gamma_0^2\omega_0$

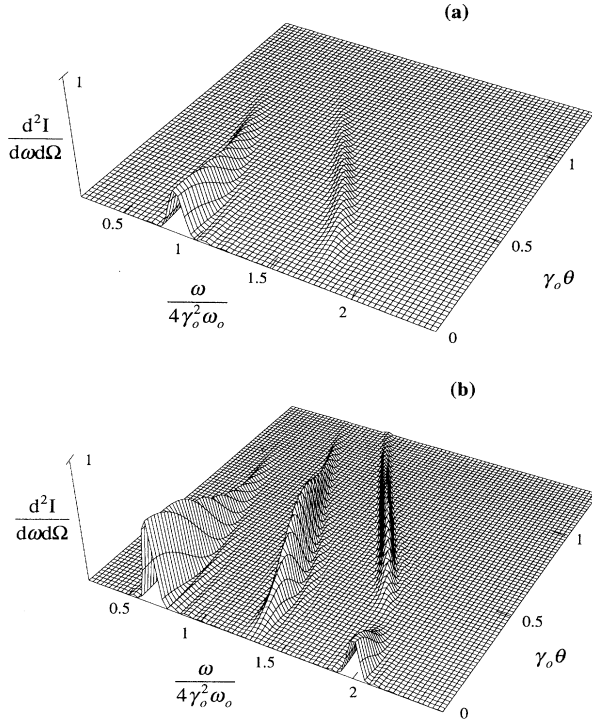


FIG. 2. The normalized intensity, as a function of normalized frequency  $\omega/4\gamma_0^2\omega_0$  and angle  $\gamma_0\theta$  in the  $\phi=0$  plane, of the radiation scattered by a relativistic electron ( $\gamma_0=5$ ) from a counterpropagating, linearly polarized laser pulse ( $N_0=7$ ). (a) shows the first two harmonics for  $a_0=0.5$  and (b) shows the first three harmonics for  $a_0=1.0$ .

and is confined to an angle  $\theta < 1/\gamma_0$ . Figure 2(b) shows the intensity in the first three harmonics for  $a_0=1.0$ . Significant radiation now occurs in the harmonics as well as the fundamental. Only the odd harmonics are finite along the axis ( $\theta=0$ ) and the frequency shift due to finite  $a_0$  is more apparent. The angular distribution of the higher harmonics is more extensive than the fundamental. The  $n$ th harmonic exhibits  $(n+1)/2$ , for  $n$  odd, or  $n/2$ , for  $n$  even, intensity maxima as a function of  $\theta$ . For larger values of  $a_0$ , the harmonics dominate the spectrum.

Plots of the normalized amplitude of the scattered intensity  $d^2I/d\omega d\Omega$  versus observation angle  $\theta$  are shown in Figs. 3(a)–3(c) for the case of a linearly polarized laser ( $N_0=7$ ) interacting with a dense plasma electron. The intensity is shown in the plane of electron motion  $\phi=0$ , i.e.,  $\theta$  is the horizontal observation angle. Figure 3(a) shows the intensity in the first three harmonics for  $a_0=0.5$ , Fig. 3(b) shows the intensity in first six harmonics for  $a_0=1.0$ , and Fig. 3(c) shows the intensity in first twelve harmonics for  $a_0=2.0$ . For a dense plasma, there is no average axial drift of the electrons; hence harmonic radiation is scattered over large angles and the frequency is not shifted, i.e.,  $\omega_n=n\omega_0$ . (For convenience, the intensity is plotted only at the resonant frequencies  $\omega=\omega_n$ .) Only the odd harmonics are finite along the axis ( $\theta=0$ )

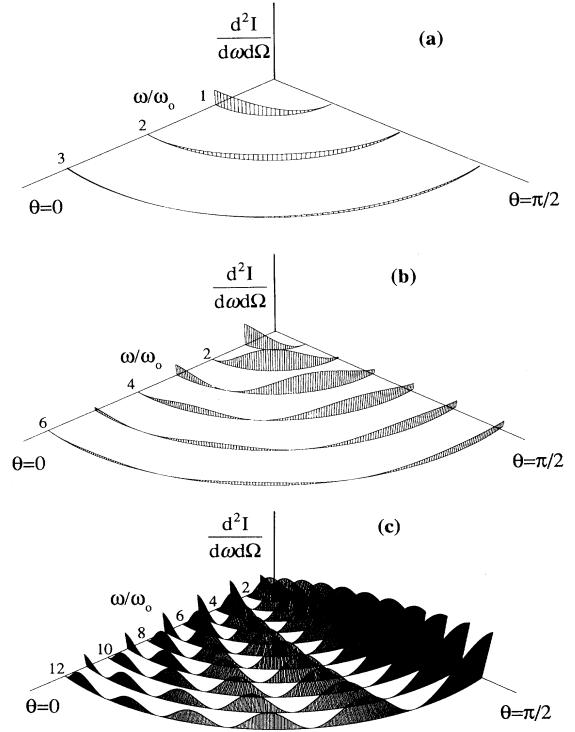


FIG. 3. The normalized intensity at the harmonic resonances  $\omega/\omega_0=n$ , as a function of angle  $\theta$  in the  $\phi=0$  plane, of the radiation scattered by a dense plasma electron from a linearly polarized laser pulse ( $N_0=7$ ). (a) shows the first three harmonics for  $a_0=0.5$ , (b) shows the first six harmonics for  $a_0=1.0$ , and (c) shows the first twelve harmonics for  $a_0=2.0$ .

and the intensity is maximum off axis for all harmonics with  $n > 1$ . The  $n$ th harmonic exhibits  $(n+1)/2$ , for  $n$  odd, or  $n/2$ , for  $n$  even, intensity maxima as a function of  $\theta$  within the region  $0 \leq \theta \leq \pi/2$ .

*Backscattered radiation.* Of particular interest is the radiation backscattered along the axis. In the backscattered direction  $\theta=0$ , only the odd harmonics are finite, i.e., the even harmonics vanish. Setting  $\theta=0$  in the above expressions gives, for the  $n$ th odd harmonic,

$$\left. \frac{d^2I_n}{d\omega d\Omega} \right|_{\theta=0} = e^2 k_0 N_0 M_0^2 F_n(a_0) G_n(\omega), \quad (39)$$

where

$$F_n(a_0) = n \alpha_n [J_{(n-1)/2}(\alpha_n) - J_{(n+1)/2}(\alpha_n)]^2 \quad (40)$$

is the harmonic amplitude function,  $\alpha_n = na_0^2/4(1+a_0^2/2)$ ,

$$G_n(\omega) = \frac{R(k, nk_0)}{\Delta\omega} = \frac{1}{\Delta\omega} \left[ \frac{\sin(\omega - nM_0\omega_0)\bar{T}}{(\omega - nM_0\omega_0)\bar{T}} \right]^2 \quad (41)$$

is the frequency spectrum function, and  $\bar{T} = L_0/2cM_0$ . The function  $G_n(\omega)$  is a resonance function sharply peaked about the resonant frequency  $\omega_n = nM_0\omega_0$ , with a

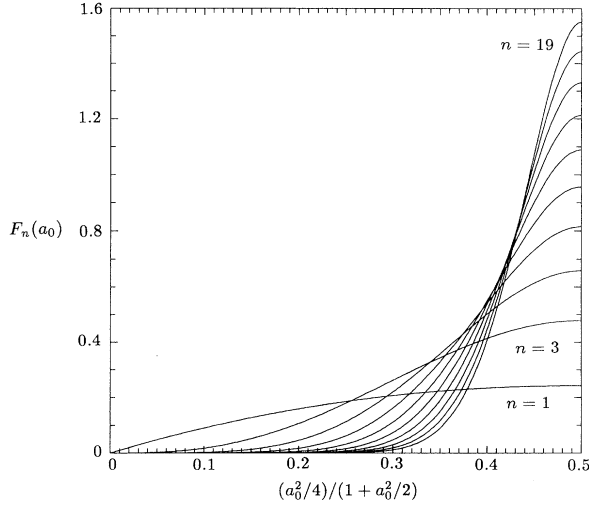


FIG. 4. The harmonic amplitude function  $F_n(a_0)$ , as a function of  $(a_0^2/4)/(1+a_0^2/2)$ , for the first ten odd harmonics,  $n=1, 3, 5, \dots, 19$ .

width given by  $\Delta\omega/\omega_n=1/nN_0$ , where the frequency multiplication factor  $M_0$  is given by Eq. (17). Furthermore,  $G_n \rightarrow \delta(\omega - \omega_n)$  as  $N_0 \rightarrow \infty$ .

The energy radiated in the  $n$ th backscattered harmonic depends on the function  $F_n(a_0)$ , Eq. (40). For high harmonics  $n \gg 1$ ,  $F_n$  becomes significant when  $a_0^2 \gg 1$ . For modest power lasers for which  $a_0^2 \ll 1$ , only the fundamental  $n=1$  is significant. A plot of the function  $F_n$  versus the parameter  $(a_0^2/4)/(1+a_0^2/2)$  is shown in Fig. 4.

### B. Circular polarization

To calculate the scattered radiation from a circularly polarized incident laser field ( $\delta_p=0$ ), the orbits given by Eqs. (18) and (19) are used in Eqs. (25a) and (25b). The intensity distribution can be written as

$$\frac{d^2 I_\theta}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} \left| \int_{-\eta_0}^{\eta_0} d\eta \left[ \frac{k_0 r_1}{\sqrt{2}} \cos\theta \cos(k_0 \eta - \phi) - \beta_1 \sin\theta \right] \exp(i\psi) \right|^2, \quad (42a)$$

$$\frac{d^2 I_\phi}{d\omega d\Omega} = \frac{e^2 \omega^2}{4\pi^2 c^3} \left| \int_{-\eta_0}^{\eta_0} d\eta \left[ \frac{k_0 r_1}{\sqrt{2}} \sin(k_0 \eta - \phi) \right] \times \exp(i\psi) \right|^2. \quad (42b)$$

The phase  $\psi$  is given by

$$\psi = \psi_0 + [1 - \beta_1(1 + \cos\theta)]k\eta - (kr_1/\sqrt{2})\sin\theta \sin(k_0 \eta - \phi), \quad (43)$$

where  $\psi_0$  is given by Eq. (27b). Using the Bessel identity, Eq. (28), gives

$$\begin{aligned} & \exp\{i[\psi + l(k_0 \eta - \phi)]\} \\ &= \sum_{n=-\infty}^{\infty} \exp[i(\psi_0 + \bar{k}\eta + n\phi)] J_{n+l}(\hat{\alpha}), \quad (44) \end{aligned}$$

where  $\hat{\alpha} = (kr_1/\sqrt{2})\sin\theta$  and where  $\bar{k}$  is given by Eq. (30a). This allows the calculation of the integrals in Eqs. (42a) and (42b). In particular,

$$\begin{aligned} \hat{I}_0 &= \int_{-\eta_0}^{\eta_0} d\eta \exp(i\psi) \\ &= \sum_{n=-\infty}^{\infty} \exp[i(\psi_0 + n\phi)] \left[ \frac{\sin \bar{k} \eta_0}{\bar{k}} \right] 2J_n(\hat{\alpha}), \quad (45a) \end{aligned}$$

$$\begin{aligned} \hat{I}_1 &= \int_{-\eta_0}^{\eta_0} d\eta \cos(k_0 \eta - \psi) \exp(i\psi) \\ &= \sum_{n=-\infty}^{\infty} \exp[i(\psi_0 + n\phi)] \left[ \frac{\sin \bar{k} \eta_0}{\bar{k}} \right] \frac{2n}{\hat{\alpha}} J_n(\hat{\alpha}), \quad (45b) \end{aligned}$$

$$\begin{aligned} \hat{I}_2 &= \int_{-\eta_0}^{\eta_0} d\eta \sin(k_0 \eta - \psi) \exp(i\psi) \\ &= \sum_{n=-\infty}^{\infty} \exp[i(\psi_0 + n\phi)] \left[ \frac{\sin \bar{k} \eta_0}{\bar{k}} \right] 2iJ'_n(\hat{\alpha}). \quad (45c) \end{aligned}$$

As indicated by Eq. (34), the above expressions imply a frequency spectrum centered about  $\omega = \omega_n$ , where  $\omega_n$  is given by Eq. (35), of width  $\Delta\omega/\omega_n = 1/nN_0$ . Since the frequency spectra of two different harmonics  $n$  and  $n'$  are well separated, the summations in Eqs. (42a) and (42b) can be simplified. Using Eqs. (42) and (45), the radiation spectrum can be written as

$$\begin{aligned} \frac{d^2 I}{d\omega d\Omega} &= \sum_{n=1}^{\infty} \frac{e^2 k^2}{\pi^2 c} \left[ \frac{\sin \bar{k} \eta_0}{\bar{k}} \right]^2 \\ &\times \left\{ \frac{[\cos\theta - \beta_1(1 + \cos\theta)]^2}{\sin^2\theta} J_n^2(\alpha) \right. \\ &\quad \left. + \frac{k_0^2 r_1^2}{2} J_n'^2(\alpha) \right\}, \quad (46) \end{aligned}$$

where  $k_0 r_1 = a_0/h_0$  and the approximation  $\omega \simeq \omega_n$  has been made in the arguments of the Bessel functions, i.e.,

$$\alpha = \frac{n(a_0/\sqrt{2})\sin\theta}{h_0[1 - \beta_1(1 + \cos\theta)]}. \quad (47)$$

In the above expression, the terms proportional to  $J_n(\alpha)$  are the contributions from  $I_\theta$ , and the terms proportional to  $J_n'(\alpha)$  are the contributions from  $I_\phi$ .

Using the identities [1]

$$\begin{aligned} \sum_{n=1}^{\infty} n^2 J_n^2(n\hat{z}) &= \frac{\hat{z}^2(4 + \hat{z}^2)}{16(1 - \hat{z}^2)^{7/2}}, \\ \sum_{n=1}^{\infty} n^2 J_n'^2(n\hat{z}) &= \frac{(4 + 3\hat{z}^2)}{16(1 - \hat{z}^2)^{5/2}}, \end{aligned} \quad (48)$$

the summation in Eq. (46) can be carried out and an expression for  $dI/d\Omega$  can be found. After integrating over frequency, one finds



$$\frac{dI}{d\Omega} = \frac{(e^2/c)N_0\omega_0 a_0^2/h_0^2}{32(1-\hat{z}^2)^{7/2}[1-\beta_1(1+\cos\theta)]^3} \times \left\{ \frac{[\cos\theta - \beta_1(1+\cos\theta)]^2}{[1-\beta_1(1+\cos\theta)]^2} (4+\hat{z}^2) + (4+3\hat{z}^2)(1-\hat{z}^2) \right\}, \quad (49)$$

where  $\hat{z} = \alpha/n$ .

Plots of the normalized amplitude of the scattered intensity  $d^2I/d\omega d\Omega$  versus normalized frequency  $\omega/4\gamma_0^2\omega_0$  and normalized observation angle  $\gamma_0\theta$  are shown in Figs. 5 and 6 for the case of a circularly polarized laser ( $a_0=1$ ,  $N_0=7$ ). Because of the symmetry of the electron orbit, the intensity distribution is independent of  $\phi$ . Figure 5 shows the scattered intensity from a counterpropagating relativistic electron ( $\gamma_0=5$ ) for the first three harmonics. Only the fundamental ( $n=1$ ) is nonzero on axis, where its intensity is maximum, and its frequency is shifted from the low-intensity Thomson backscattered value of  $4\gamma_0^2\omega_0$ . The intensity of the higher harmonics peak off axis and is confined to angles  $\theta \lesssim 2/M_0^{1/2}$ , as discussed in Sec. IV C below. Figure 6 shows the scattered intensity from an electron in a dense plasma for the first six harmonics. For a dense plasma, there is no average axial drift of the electrons and the frequency is not shifted, i.e.,  $\omega_n = n\omega_0$ . Only the fundamental is nonzero on axis, where its intensity is maximum. For higher harmonics, the intensity is maximum in the transverse direction  $\theta = \pi/2$ . As the intensity of the laser pulse increases, more radiation is scattered into the higher harmonics.

*Backscattered radiation.* In the backscattered direction, only the fundamental  $n=1$  is nonzero. In the limit  $\theta \rightarrow 0$ ,  $J'_1(\alpha) \rightarrow 1/2$  and  $J_1(\alpha) \rightarrow \alpha/2$ . Hence

$$\left. \frac{d^2I_n}{d\omega d\Omega} \right|_{\theta=0} = \frac{e^2 k_0 N_0 M_0^2 a_0^2}{4(1+a_0^2/2)} G_1(\omega), \quad (50)$$

where  $G_1(\omega)$  is given by Eq. (41) with  $n=1$ .

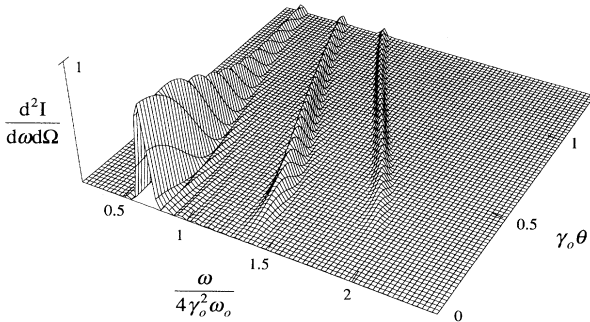


FIG. 5. The normalized intensity, as a function of normalized frequency  $\omega/4\gamma_0^2\omega_0$  and angle  $\gamma_0\theta$ , of the radiation scattered by a relativistic electron ( $\gamma_0=5$ ) from a counterpropagating, circularly polarized laser pulse ( $N_0=7$ ,  $a_0=1.0$ ) for the first three harmonics.

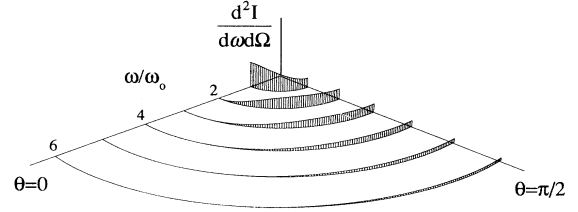


FIG. 6. The normalized intensity at the harmonic resonances  $\omega/\omega_0=n$ , as a function of angle  $\theta$ , of the radiation scattered by a dense plasma electron from a circularly polarized laser pulse ( $N_0=7$ ,  $a_0=1.0$ ) for the first six harmonics.

## IV. RADIATION PROPERTIES

### A. Radiated power

The power radiated by a single electron  $P_s$  undergoing relativistic quiver motion in an intense laser field can be calculated from the relativistic Larmor formula [24]

$$P_s = \frac{2e^2}{3c} \gamma^2 \left[ \left( \frac{d\mathbf{u}}{dt} \right)^2 - \left( \frac{d\gamma}{dt} \right)^2 \right]. \quad (51)$$

Assuming the electron orbit is a function of only the variable  $\eta = z + ct$ ,

$$P_s = \frac{2}{3} e^2 c (\gamma + u_z)^2 \left[ \left( \frac{d\mathbf{u}}{d\eta} \right)^2 - \left( \frac{d\gamma}{d\eta} \right)^2 \right]. \quad (52)$$

Using the orbits described in Sec. II, the power radiated by an electron in the presence of a circularly or linearly polarized radiation field is given by

$$P_s \simeq \frac{2}{3} e^2 c h_0^2 k_0^2 a_0^2 \times \begin{cases} \frac{1}{2}, & \text{circular} \\ \sin^2 k_0 \eta, & \text{linear} \end{cases}, \quad (53)$$

where  $h_0$  is given by Eq. (12). Averaging the above expression over a laser period, the ratio of the radiated power to the incident laser power  $P_s/P_0$  can be written as

$$P_s/P_0 \simeq 16r_e^2 h_0^2 / 3r_0^2, \quad (54)$$

where  $r_e = e^2/m_e c^2$  is the classical electron radius.

The total power radiated by a laser pulse passing through a uniform distribution of electrons with a constant density  $n_0$  is given by  $P_T = N_e P_s$ , where  $N_e = n_0 L_0 \sigma_L$  is the total number of electrons interacting with the laser pulse at a given time,  $L_0 = c\tau_L$  is the laser pulse length, and  $\sigma_L$  is the effective cross-section. Assuming a Gaussian laser pulse,  $\hat{a} = (a_0 r_0 / r_L) \exp(-r^2 / r_L^2)$ , where  $r_L$  is the laser-pulse spot size and  $r_0$  is the minimum spot size, the effective cross section  $\sigma_L$  can be found by letting  $a_0 \rightarrow \hat{a}$  in Eq. (54) and integrating  $P_s$  over  $r$ . One finds

$$\sigma_L = \frac{\pi r_0^2}{2} \times \begin{cases} 1, & e \text{ beam} \\ f_p, & \text{plasma} \end{cases}, \quad (55)$$

where  $f_p = (1 + a_0^2/4)/(1 + a_0^2/2)$ . In Eq. (55), the top expression holds in the short-pulse (electron beam) limit, i.e.,  $h_0 = \gamma_0(1 + \beta_0)$ , and the bottom expression holds in the long-pulse (plasma) limit, i.e.,  $h_0 = (1 + a_0^2/2)^{1/2}$ . Hence the total scattered power by a uniform electron density  $n_0$  is given by

$$P_T/P_0 = (8\pi/3)r_e^2 L_0 n_0 f_p h_0^2. \quad (56)$$

As example, a  $n_0 = 10^{20} \text{ cm}^{-3}$  plasma interacting with a 1-ps laser pulse with  $a_0 = 5$  gives  $P_T/P_0 = 1.4 \times 10^{-5}$ . The ratio of the total scattered energy to the laser pulse energy is approximately  $P_T L / P_0 L_0$ , where  $L$  is the total length over which the laser pulse interacts with the electrons.

### B. Resonance function

Several properties of the radiation spectra can be ascertained by examining the resonance function  $R(k, nk_0)$  given by Eq. (34). The function  $R(k, nk_0)$  is sharply peaked about the resonant harmonic frequencies  $\omega_n$  defined by  $\bar{k} = 0$ , which can be written as

$$\omega_n = \frac{nM_0\omega_0}{[1 + M_0\beta_1(1 - \cos\theta)]}, \quad (57)$$

where  $n$  is the harmonic number and  $M_0$  is the relativistic Doppler upshift factor. For a plasma,  $\beta_1 = 0$  and  $M_0 = 1$ , which gives  $\omega_n = n\omega_0$ , independent of  $\theta$ . For a relativistic electron beam with  $M_0 \gg 1$ , the radiation is primarily backscattered into small angles  $\theta^2 \ll 1$ . Hence  $\omega_n \approx nM_0\omega_0/(1 + M_0\theta^2/4)$ , which indicates a maximum frequency in the backscattered direction along the axis  $\theta = 0$ . The change in frequency  $\Delta\omega$  with respect to a change in angle  $\Delta\theta$  is given by

$$\frac{|\Delta\omega|}{\omega_n} \approx \frac{|M_0(\theta\Delta\theta + \Delta\theta^2/2)|}{(2 + M_0\theta^2/2)}, \quad (58)$$

assuming  $M_0 \gg 1$ . Alternatively, Eq. (58) can be solved to give the angular spread  $\Delta\theta$  about  $\theta$  over which a given bandwidth  $\Delta\omega$  about  $\omega_n$  may occupy. For a relativistic electron beam with  $M_0 \gg 1$ , two angles are of particular interest. It is shown below that for a linearly polarized laser field, the radiation intensity for the higher harmonics  $n \gg 1$  is centered about  $\theta = 0$ , whereas for circular polarization, the intensity is centered about  $\theta_0 = 2/M_0^{1/2}$ . For these two angles, Eq. (58) implies

$$\Delta\theta \approx \frac{\gamma_\perp}{\gamma_0} \times \begin{cases} (\Delta\omega/\omega_n)^{1/2} & \text{for } \theta = 0 \\ (\Delta\omega/\omega_n) & \text{for } \theta = \theta_0, \end{cases} \quad (59)$$

where  $M_0 \approx 4\gamma_0^2/\gamma_\perp^2$  has been used.

The intrinsic (i.e., associated with the radiation from a single electron) frequency width  $\Delta\omega_n$  of the radiation about a resonant frequency  $\omega_n$  can be found by letting  $\omega = \omega_n + \delta\omega$  and integrating the function  $R(k, nk_0)$  over  $\delta\omega$ , which gives

$$\Delta\omega_n = \int_{-\infty}^{\infty} d(\delta\omega)R(k, k_0) = \omega_n/nN_0. \quad (60)$$

Hence  $\Delta\omega_n/\omega_n = 1/nN_0$ , where  $N_0 = L_0/\lambda_0$  is the num-

ber of wavelengths in the laser pulse. Furthermore,  $R(k, nk_0) \rightarrow \Delta\omega_n \delta(\omega - \omega_n)$  as  $N_0 \rightarrow \infty$ . The angular width  $\Delta\theta_n$  within which can be found radiation with frequencies in  $\Delta\omega_n$  about  $\omega_n$ , for a single harmonic  $n$ , is given by inserting Eq. (60) in Eq. (59),

$$\Delta\theta_n \approx \frac{\gamma_\perp}{\gamma_0} \times \begin{cases} (1/nN_0)^{1/2} & \text{for } \theta = 0 \\ (1/nN_0) & \text{for } \theta = \theta_0. \end{cases} \quad (61)$$

Alternatively, similar expressions can be obtained by letting  $\theta = \theta' + \delta\theta$  and integrating  $R[k_n(\theta'), k_0]$  over  $\delta\theta$ . It should be pointed out that Eqs. (59) and (61) apply to relativistic electron beams with  $M_0 \gg 1$ . For plasmas, the angular width occupied by a given  $\Delta\omega$  about  $\omega_n$  must be determined by considering the full functional form of the radiation spectrum, Eqs. (36) and (46), not just the resonance function  $R(k, nk_0)$ .

### C. Ultraintense behavior

For values of  $a_0 \ll 1$ , the scattered radiation will be narrowly peaked about the fundamental resonant frequency  $\omega_1 = \omega_0/[1 - \beta_1(1 + \cos\theta)]$ . As  $a_0$  approaches unity, scattered radiation will appear at harmonics of the resonant frequency as well,  $\omega_n = n\omega_1$ . When  $a_0 \gg 1$ , high-harmonic ( $n \gg 1$ ) radiation is generated and the resulting synchrotron radiation spectrum consists of many closely spaced harmonics. Finite electron-energy-spread effects can broaden the linewidth causing the radiation from the various harmonics to overlap. For example, a finite thermal axial velocity spread will lead to overlap when  $(\Delta\omega/\omega_n)_{\text{th}} \gtrsim 1/n$ , where  $(\Delta\omega/\omega_n)_{\text{th}}$  is given below by Eq. (77). Hence, in the ultraintense limit, i.e.,  $a_0 \gg 1$ , the gross spectrum appears broadband and a continuum of radiation is generated which extends out to a critical frequency  $\omega_c$  beyond which the radiation intensity diminishes. The critical frequency can be written as  $\omega_c = n_c\omega_1$ , where  $n_c$  is the critical harmonic number. It is possible to calculate  $n_c$  by examining the radiation spectrum, Eqs. (36) and (46), in the ultraintense limit  $a_0 \gg 1$ .

Asymptotic properties of the radiation spectrum for large harmonic numbers  $n \gg 1$  can be analyzed using the relationships [25]

$$J_n(n\hat{x}) \approx \frac{\hat{x}^{1/2}}{\pi} (1 - \hat{x}^2)^{-1/4} K_{1/3}(n\hat{x}), \quad (62)$$

$$J'_n(n\hat{x}) \approx -\frac{\hat{x}^{1/2}}{\pi\hat{x}} (1 - \hat{x}^2)^{1/4} K_{2/3}(n\hat{x}),$$

where  $|\hat{x}| < 1$  and is a function of  $a_0$  and  $\theta$ ,

$$\hat{x} = \ln[1 + (1 - \hat{z}^2)^{1/2}] - \ln\hat{z} - (1 - \hat{z}^2)^{1/2}, \quad (63)$$

and  $K_{1/3}, K_{2/3}$  are modified Bessel functions. In particular, for  $n\hat{x} \gg 1$ ,

$$K_{1/3} \approx K_{2/3} \approx (\pi/2n\hat{x}) \exp(-n\hat{x}), \quad (64)$$

and hence only harmonic radiation with  $n\hat{x} \lesssim 1$  will contribute significantly to the spectrum. The critical harmonic number is defined as  $n_c\hat{x}_{\text{min}} = 1$ , i.e.,  $n_c = 1/\hat{x}_{\text{min}}$ , where  $\hat{x}_{\text{min}}$  is the minimum value of Eq. (63). Further-

more,  $d\hat{x}/d\hat{z} < 0$  and the minimum of  $\hat{x}$  occurs at  $\hat{z}_{\max}$ . Typically, for  $a_0^2 \gg 1$ ,  $1 - \hat{z}_{\max}^2 \ll 1$  and Eq. (63) can be expanded to yield, to leading order,  $\hat{x}_{\min} \simeq \frac{1}{3}(1 - \hat{z}_{\max}^2)^{3/2}$ . The critical harmonic number is given by the inverse of this expression.

### 1. Circular polarization

For a circularly polarized incident laser field,  $\hat{z} = \alpha/n$ , where  $\alpha$  is given by Eq. (47), i.e.,

$$\hat{z} = \frac{(a_0/\sqrt{2})\sin\theta}{h_0[1 - \beta_1(1 + \cos\theta)]}. \quad (65)$$

For a fixed value of  $a_0 \gg 1$ , the maximum value of  $\hat{z}$  is given by  $\hat{z}_{\max} = (a_0/\sqrt{2})/(1 + a_0^2/2)^{1/2}$  and occurs at an angle  $\theta_0$  given by

$$\cos\theta_0 = (M_0 - 1)/(M_0 + 1). \quad (66)$$

Inserting this value of  $\hat{z}_{\max}$  into Eq. (63) gives, for  $a_0^2 \gg 1$ ,  $\hat{x}_{\min} \simeq 2\sqrt{2}/3a_0^3$  and hence

$$n_c \simeq 3a_0^3/2\sqrt{2}. \quad (67)$$

Furthermore, radiation at the harmonic  $n_c$  will be scattered in the direction  $\theta = \theta_0$ , where  $\theta_0$  is given by Eq. (66). The frequency of the radiation scattered in the direction  $\theta = \theta_0$  is given by

$$\omega(\theta = \theta_0) = n\omega_0(M_0 + 1)/2. \quad (68)$$

For a plasma,  $M_0 = 1$  and  $\theta_0 = \pm\pi/2$ , i.e., the high harmonic radiation will be scattered perpendicular to the incident laser field. For a relativistic electron beam with  $M_0 \gg 1$ ,  $\theta_0 \simeq 2/M_0^{1/2}$  and the high harmonic radiation is nearly backscattered. Physically,  $\theta_0$  is related to the pitch angle of the electron orbit,  $|u_\perp|/|u_z| \simeq 2\sqrt{2}/M_0^{1/2} \simeq a_0/\gamma_0$ , assuming  $a_0^2 \gg 1$  and  $M_0 \gg 1$ .

The asymptotic properties ( $n \gg 1$ ) of the radiation spectra can be readily obtained from Eqs. (46) and (62). In the ultrarelativistic limit  $a_0^2 \gg 1$ , the radiation is confined to small angles  $\delta\theta$  about the optimum angle  $\theta_0$ , i.e.,  $\theta = \theta_0 + \delta\theta$ , where  $\delta\theta^2 \ll 1$ . Assuming  $n \gg 1$ ,  $a_0^2 \gg 1$ , and  $\delta\theta^2 \ll 1$ , Eqs. (46) and (62) give

$$\frac{d^2I}{d\omega d\Omega} \simeq N_0 \frac{3e^2}{\pi^2 c} \frac{\gamma^2 \xi^2}{(1 + \gamma^2 \delta\theta^2)} \times \left[ \frac{\gamma^2 \delta\theta^2}{(1 + \gamma^2 \delta\theta^2)} K_{1/3}^2(\xi) + K_{2/3}^2(\xi) \right], \quad (69)$$

where

$$\xi = \frac{\omega}{\omega_c} (1 + \gamma^2 \delta\theta^2)^{3/2}, \quad (70a)$$

$$\omega_c = n_c \frac{(M_0 + 1)}{2} \omega_0, \quad (70b)$$

$$\gamma = \frac{a_0(M_0 + 1)}{2(2M_0)^{1/2}}. \quad (70c)$$

Equation (69) holds for arbitrary values of  $M_0$ , i.e., electron beams of arbitrary energies as well as stationary

plasmas. In Eq. (70a),  $n_c = 3a_0^3/2\sqrt{2}$  and the factor  $(M_0 + 1)/2$  is the relativistic Doppler upshift for radiation scattered at the optimum angle  $\theta_0$ , as indicated by Eq. (68). The expression for  $\gamma$  follows from Eq. (8b) assuming  $a_0^2 \gg 1$ . In deriving Eq. (69), Eq. (62) was used and the summation was approximated by an integral, i.e.,  $\sum_n R(k, nk_0) \simeq 1/N_0$  and hence  $n\hat{x} \rightarrow \xi$ .

Notice in the limit  $\delta\theta = 0$ ,  $d^2I/d\omega d\Omega \sim \xi^2 K_{2/3}^2(\xi)$ , where  $\xi = \omega/\omega_c$ . A plot of the function  $Y(\xi) = \xi^2 K_{2/3}^2(\xi)$  is shown in Fig. 7. The function  $Y(\xi)$  is maximum at  $\xi = \frac{1}{2}$  and decreases rapidly for  $\xi > 1$ . Half the total power is radiated at frequencies  $\omega < \omega_c/2$  and half at  $\omega > \omega_c/2$ . This can be shown by integrating  $d^2I/d\omega d\Omega$  over frequency and angle [10], i.e., integrating the expression given below by Eq. (71b) over frequency.

Equation (69) is  $N_0$  times the standard result [24] for the synchrotron radiation spectrum emitted from an electron moving in an instantaneously circular orbit in the ultra-relativistic limit with a radius of curvature  $\rho = 3\gamma^3 c/\omega_c$ . Several well-known properties [24] follow from Eq. (69), for example,

$$\frac{dI}{d\Omega} \simeq \frac{7e^2}{48c} \frac{N_0 \omega_c \gamma^2}{(1 + \gamma^2 \delta\theta^2)^{5/2}} \left[ 1 + \frac{5}{7} \frac{\gamma^2 \delta\theta^2}{(1 + \gamma^2 \delta\theta^2)} \right], \quad (71a)$$

$$\frac{dI}{d\omega} \simeq 2\sqrt{3} \frac{e^2}{c} N_0 \gamma \frac{\omega}{\omega_c} \int_{2\omega/\omega_c}^{\infty} d\xi K_{5/3}(\xi). \quad (71b)$$

The peak intensity is of the order  $N_0 e^2 \gamma/c$  and the total radiated energy is of the order  $N_0 e^2 \gamma \omega_c/c$ . The peak intensity occurs at the optimum angle  $\theta_0$ , i.e.,  $\delta\theta = 0$ , at approximately the critical frequency  $\omega \simeq \omega_c$ , i.e.,  $n \simeq n_c = 3a_0^3/2\sqrt{2}$ . For harmonics below  $n_c$  ( $\omega \ll \omega_c$ ), the radiation intensity increases as  $(\omega/\omega_0)^{2/3}$ , and above  $n_c$  ( $\omega \gg \omega_c$ ), the radiation intensity decreases exponentially, i.e.,

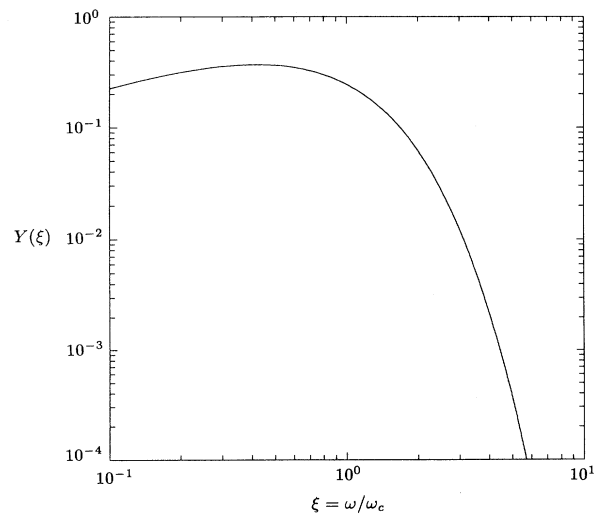


FIG. 7. The function  $Y(\xi) = \xi^2 K_{2/3}^2(\xi)$  vs  $\xi = \omega/\omega_c$ .

$$\left. \frac{d^2 I}{d\omega d\Omega} \right|_{\delta\theta=0} \simeq N_0 \frac{3e^2}{\pi^2 c} [\Gamma(\frac{2}{3})]^2 \gamma^2 \left( \frac{\omega}{2\omega_c} \right)^{2/3}, \quad \omega \ll \omega_c \quad (72a)$$

$$\left. \frac{d^2 I}{d\omega d\Omega} \right|_{\delta\theta=0} \simeq N_0 \frac{3e^2}{2\pi c} \gamma^2 \left( \frac{\omega}{\omega_c} \right) \exp \left[ -\frac{2\omega}{\omega_c} \right], \quad \omega \gg \omega_c. \quad (72b)$$

Furthermore, for  $\omega \ll \omega_c$ , the scattered radiation at a fixed frequency is confined to an angular spread  $\Delta\delta\theta = (\omega_c/\omega)^{1/3}/\gamma$  about  $\theta_0$ , whereas for  $\omega > \omega_c$ ,  $\Delta\delta\theta = (\omega_c/3\omega)^{1/2}/\gamma$ . The average angular spread for the frequency integrated spectrum is  $\langle \delta\theta^2 \rangle^{1/2} \sim 1/\gamma$ .

As an example, the peak intensity in the transverse direction ( $\theta = \pi/2$ ) of each harmonic  $\omega = n\omega_0$  is shown in Fig. 8 for the case of a high-intensity circularly polarized laser pulse encountering an electron in a dense plasma. Plots for two different intensities are shown,  $a_0 = 4$  and 6. The arrows indicate the approximate critical harmonic number  $n_c \simeq a_0^3$  for each case. Asymptotically,  $a_0 \gg 1$ , this curve approaches the form  $Y(\xi) = \xi^2 K_{2/3}^2(\xi)$ , shown in Fig. 7.

## 2. Linear polarization

For a linearly polarized incident laser field in the limit  $a_0 \ll 1$ , upshifted radiation at the fundamental frequency is generated in a narrow cone about the backscattered direction  $\Omega \simeq 2\pi\theta_c^2$ , where  $\theta_c \sim 1/h_0$ . However, in the limit  $a_0 \gg 1$ , a near continuum of high-harmonic radiation is generated and the emission cone about backscattered direction widens [10]. In particular, in the vertical direction  $\phi = \pi/2$  (the direction normal to the  $x$ - $z$  plane which contains the electron orbit), emission is confined to the vertical angle  $\theta_v \sim 1/h_0$ . In the horizontal direction  $\phi = 0$  (in the plane of the electron orbit), the emission angle widens and is confined to the horizontal angle  $\theta_h \sim a_0/h_0$ , which is determined by the deflection angle

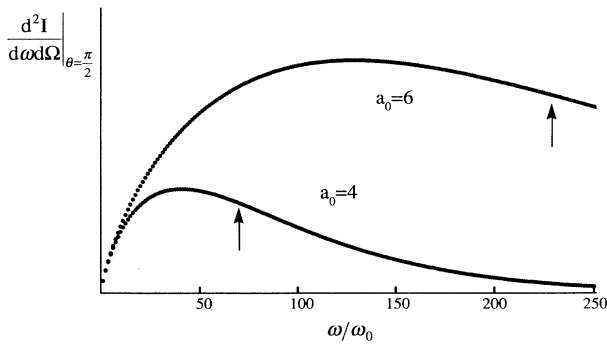


FIG. 8. The peak intensity of each harmonic in the transverse direction ( $\theta = \pi/2$ ) versus normalized frequency  $\omega/\omega_0$  for a circularly polarized laser pulse scattering from a dense plasma electron. The cases  $a_0 = 4$  and 6 are shown. The arrows indicate the approximate critical harmonic number  $n_c \simeq a_0^3$ .

of the electron in the  $x$ - $z$  plane [10]. The asymptotic properties of the radiation spectrum can be analyzed using Eqs. (36) and (62). Letting  $\theta$  represent the observation angle in the vertical direction, i.e.,  $\phi = \pi/2$ , then in the limits  $a_0 \gg 1$  and  $n \gg 1$ ,  $\theta^2 \ll 1$  and the coefficients  $C_x$  and  $C_z$  occurring in Eq. (36) are given by  $C_z^2 \simeq J_l^2(l\hat{z})$  and  $C_x^2 \simeq (a_0/h_0)^2 J_l^2(l\hat{z})$ , where additional terms of order  $1/a_0$  have been neglected and  $n = 2l + 1 \gg 1$ . Here, for linear polarization,

$$\hat{z} = \frac{\alpha_z}{l} \simeq 1 - \frac{2}{a_0^2} \left[ 1 + \frac{h_0^2}{4} \theta^2 \right]. \quad (73)$$

The asymptotic spectrum near the axis can be found by using the asymptotic properties of the Bessel functions, Eq. (62). Notice that for  $\theta = 0$ ,  $\hat{x}_{\max} \simeq 8/3a_0^3$ . Hence  $l_c = 1/\hat{x}_{\max}$ , and the critical harmonic number  $n_c \simeq 2l_c$  is given by

$$n_c \simeq 3a_0^3/4. \quad (74)$$

Using Eqs. (36) and (62), the asymptotic spectrum is given by

$$\begin{aligned} \left. \frac{d^2 I}{d\omega d\Omega} \right|_{\theta=0} \simeq N_0 \frac{12e^2}{\pi^2 c} \frac{\hat{\gamma}^2 \xi^2}{(1 + \hat{\gamma}^2 \theta^2)} \\ \times \left[ \frac{\hat{\gamma}^2 \theta^2}{(1 + \hat{\gamma}^2 \theta^2)} K_{1/3}^2(\xi) + K_{2/3}^2(\xi) \right], \quad (75) \end{aligned}$$

where

$$\xi = \frac{\omega}{\omega_c} (1 + \hat{\gamma}^2 \theta^2)^{3/2}, \quad (76a)$$

$$\omega_c = n_c M_0 \omega_0, \quad (76b)$$

$$\hat{\gamma} = h_0/2. \quad (76c)$$

In deriving Eq. (75),  $\sum_n R(k, nk_0) \rightarrow 1/N_0$  and  $l\hat{x} \rightarrow \xi$ . Several subsequent properties of the asymptotic spectrum

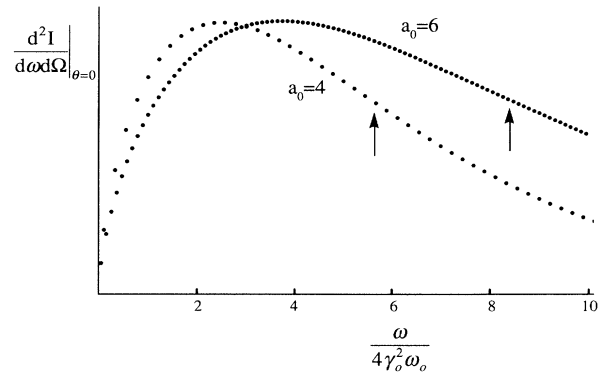


FIG. 9. The peak intensity of the odd harmonics on axis ( $\theta = 0$ ) versus normalized frequency  $\omega/4\gamma_0^2\omega_0$  for a linearly polarized laser pulse scattering from a counterstreaming relativistic electron ( $\gamma_0 = 5$ ). The cases  $a_0 = 4$  and 6 are shown. The arrows indicate the approximate critical harmonic number  $n_c \simeq 3a_0^3/4$ .

follow from Eq. (75). As was the case for circular polarization, Eqs. (71) and (72) apply, with  $N_0 \rightarrow 4N_0$ ,  $\delta\theta \rightarrow \theta$ ,  $\gamma \rightarrow \hat{\gamma}$ , and where  $\omega_c$  is given by Eq. (76b). In particular, radiation with  $\omega \approx \omega_c$  is confined to a vertical angle  $\theta_v \approx 1/\hat{\gamma}$ . In the horizontal direction, emission is confined to the angle  $\theta_h \sim a_0/\hat{\gamma}$ , i.e.,  $\theta_h \sim a_0/\gamma_0$  for an electron beam and  $\theta_h \sim \pi/2$  for a plasma.

As an example, the peak intensity on axis ( $\theta=0$ ) of the odd harmonics  $\omega = nM_0\omega_0$  is shown in Fig. 9 for the case of a high-intensity linearly polarized laser pulse encountering a counterstreaming relativistic electron ( $\gamma_0=5$ ). Plots for two different intensities are shown,  $a_0=4$  and 6. The arrows indicate the approximate critical harmonic number  $n_c \approx 3a_0^3/4$  for each case. Note that the harmonic intensity is plotted versus the normalized frequency  $\omega/4\gamma_0^2\omega_0 \approx 1.5a_0$ . Asymptotically,  $a_0 \gg 1$ , this curve approaches the form  $Y(\xi) = \xi^2 K_{2/3}^2(\xi)$ , shown in Fig. 7.

## V. NONIDEAL EFFECTS

### A. Electron-energy spread

The above analysis has assumed ideal electron distributions, i.e., thermal and energy spread effects have been neglected. These effects are important in determining the frequency line width of the scattered radiation [10]. For example, the resonance function  $R(k, nk_0)$  indicates that if a thermal axial velocity spread  $\Delta v_{\text{th}}$  is introduced, i.e.,  $\beta_z = \beta_0 + \Delta\beta_{\text{th}}$ , where  $\Delta\beta_{\text{th}} = \Delta v_{\text{th}}/c$ , then the scattered radiation along the axis will be shifted in frequency away from  $\omega_n$  by  $\Delta\omega_{\text{th}}$ , where

$$(\Delta\omega/\omega_n)_{\text{th}} = 2\gamma_0^2 \Delta\beta_{\text{th}}. \quad (77)$$

For a plasma,  $\Delta\beta_{\text{th}}$  is related to the initial plasma thermal energy  $E_{\text{th}}$  by  $\Delta\beta_{\text{th}} = (2E_{\text{th}}/m_e c^2)^{1/2}$ . For an electron beam,  $\Delta\beta_{\text{th}}$  is related to the initial normalized energy spread  $\Delta\gamma/\gamma_0$  by  $\Delta\beta_{\text{th}} = \Delta\gamma/\gamma_0^3\beta_0$ . As an example, a plasma with a temperature of 100 eV would produced a thermal bandwidth of  $(\Delta\omega/\omega_n)_{\text{th}} \approx 4\%$ .

In actual electron beams, the electrons may have an average angular spread as well as an average energy spread, represented by emittance and intrinsic energy spread, respectively. The normalized beam emittance is given by  $\epsilon_n = \gamma_0 r_b \theta_b$ , where  $r_b$  is the average electron beam radius and  $\theta_b$  is the average electron angular spread. The fractional longitudinal beam energy spread due to emittance is  $(\Delta E/E_b)_\epsilon = \epsilon_n^2/2r_b^2$ , where  $E_b$  is the initial beam energy. Electron beams may also have an intrinsic energy spread  $(\Delta E/E_b)_i$  due to various reasons, such as voltage variation, finite pulse length effects, etc. The total spectral width of the radiation about the harmonic  $\omega_n$  is

$$(\Delta\omega/\omega_n)_T \approx [(\Delta\omega/\omega_n)_0^2 + (\Delta\omega/\omega_n)_\epsilon^2 + (\Delta\omega/\omega_n)_i^2]^{1/2}, \quad (78)$$

where  $(\Delta\omega/\omega_n)_0 = 1/nN_0$  is the finite-interaction-length spectral-width contribution,  $(\Delta\omega/\omega_n)_\epsilon = \epsilon_n^2/r_b^2$  is the emittance-broadened spectral width, and  $(\Delta\omega/\omega_n)_i$

$= 2(\Delta E/E_b)_i$  is the intrinsic energy spread broadening contribution. The radiation with total spectral width  $(\Delta\omega/\omega_n)_T$  is confined to the angle  $\theta_T \approx (\Delta\omega/\omega_n)_T^{1/2}/\gamma_0$ . This consequently reduces the spectral intensity  $d^2I/d\omega d\Omega$  of the scattered radiation from an electron beam for a particular harmonic by approximately  $\theta_0^2/\theta_T^2$ .

If a particular application requires a bandwidth  $(\Delta\omega/\omega_n)_S \leq 1$ , this radiation can be found within the angle  $\theta_\Sigma$ , where

$$\theta_\Sigma^2 \approx \theta_S^2 + \theta_T^2 = [(\Delta\omega/\omega_n)_S + (\Delta\omega/\omega_n)_T]/\gamma_0^2. \quad (79)$$

If a bandwidth  $(\Delta\omega/\omega_n)_S \gg (\Delta\omega/\omega_n)_T$  is required, all the radiation within a cone of half-angle  $\theta_\Sigma \approx \theta_S = (\Delta\omega/\omega_n)_S^{1/2}/\gamma_0$  can be used. To obtain a bandwidth  $(\Delta\omega/\omega_n)_S \ll (\Delta\omega/\omega_n)_T$ , the radiation within the cone  $\theta_\Sigma \approx \theta_T = (\Delta\omega/\omega_n)_T^{1/2}/\gamma_0$  must be filtered using a monochromator. As an illustration, for an rf linac electron beam with  $\epsilon_n \approx 5$  mm mrad,  $r_b = 50$   $\mu\text{m}$ , and  $\gamma_0 = 100$ ,  $(\Delta E/E_b)_\epsilon \approx 0.5\%$  and  $(\Delta\omega/\omega_n)_\epsilon \approx 1\%$ . Since the intrinsic energy spread is typically  $\sim 1\%$  and  $N_0 \gtrsim 300$ , the total spectral width of the unfiltered LSS radiation is typically  $(\Delta\omega/\omega_n)_T \approx 1\%$  and is confined to the angle  $\theta_T \approx 1$  mrad.

An additional source of bandwidth arises due to variations in the laser-pulse intensity when scattering from an electron beam [26]. Since the frequency of the fundamental backscattered radiation is given by  $\omega = \bar{\omega}/(1+a_0^2/2)$ , where  $\bar{\omega} \approx 4\gamma_0^2\omega_0$ , radiation scattered at lower intensities will be of higher frequency. Equation (50) indicates that for a circularly polarized laser, the backscattered intensity at  $\omega$  is proportional to  $W_a = a_0^2/(1+a_0^2/2)^3$  for fixed  $a_0$ . The effect of the axial laser pulse profile can be estimated as follows by letting  $a_0^2 \rightarrow a_0^2(\eta)$ , where  $a_0^2(\eta) = a_0^2(0)\exp(-\eta^2/\sigma_\eta^2)$  and  $\sigma_\eta \sim L_0$  is a measure of the laser-pulse length. Using  $W_a$  as a weight function, then the mean and variance of the frequency are given by  $\langle\omega\rangle$  and  $\sigma_\omega = (\langle\omega^2\rangle - \langle\omega\rangle^2)^{1/2}$ , where  $\langle Q \rangle \equiv \int d\eta Q W_a / \int d\eta W_a$  for a quantity  $Q$ . In the limit  $a_0 < 1$ ,  $\langle\omega\rangle/\bar{\omega} \approx 1 - \sqrt{2}a_0^2(0)/4$  and  $\sigma_\omega/\bar{\omega} \approx 0.14a_0^2(0)$ . The resulting bandwidth can be estimated by  $(\Delta\omega/\omega)_a \approx \sigma_\omega/\bar{\omega}$ . Laser-intensity variations are not expected to produce additional bandwidth when scattering from a plasma, since the resonant frequency is independent of  $a_0^2$ .

### B. Electron-beam-energy loss

As the electron beam radiates via nonlinear Thomas scattering, the electron beam will lose energy. The rate of loss of electron-beam energy is equal to the scattered power  $m_e c^2 d\gamma/dt = -P_s$ , where  $P_s$  is given by Eq. (54). Assuming  $h_0 \approx 4\gamma^2$ , the electron-beam energy will evolve [5] according to  $\gamma = \gamma_0/(1+t/\tau_R)$ , where  $t$  is the electron-beam-laser interaction time and  $\tau_R = 3/(4cr_e k_0^2 a_0^2 \gamma_0)$ , where a linearly polarized laser field has been assumed. In practical units, this can be written as

$$\tau_R [\text{ps}] \approx 1.6 \times 10^{22} E_b^{-1} [\text{MeV}] I_0^{-1} [\text{W/cm}^2]. \quad (80)$$

One consequence of the loss of electron-beam energy is the introduction of an additional source of enhanced bandwidth  $(\Delta\omega/\omega_n)_R = 2(\gamma_0 - \gamma)/\gamma_0$ , where  $\gamma_0 - \gamma = \gamma t/\tau_R$ . For typical values of laser pulse lengths and intensities of interest,  $t/\tau_R \ll 1$ , and this effect is small. As an example, a 2-ps ( $t=1$  ps) laser pulse with intensity  $I_0 = 2.6 \times 10^{17}$  W/cm<sup>2</sup> ( $a_0 = 0.43$ ) interacting with a  $E_b = 40$  MeV ( $\gamma_0 = 79$ ) electron beam gives  $(\Delta\omega/\omega_n)_R \approx 0.13\%$ .

### C. Ponderomotive density depletion

In a high-density plasma, the transverse ponderomotive force from the radial gradients in the laser-pulse can displace the plasma electrons leading to a density depression on axis. In the long-pulse limit, the density depression can be calculated by equating the electrostatic force with the ponderomotive force  $\nabla_\perp \phi = \nabla_\perp \gamma_\perp$ , which is the adiabatic response of the plasma electrons to the transverse ponderomotive force [23,27]. This gives an equilibrium density profile of

$$n_e/n_0 = 1 + k_p^{-2} \nabla_\perp^2 (1 + a^2/2)^{1/2}, \quad (81)$$

where  $n_e/n_0 \geq 0$  has been assumed. Assuming a Gaussian transverse profile of the form  $|a| \sim \exp(-r^2/r_0^2)$ , Eq. (81) indicates that the density along the axis is given by

$$\frac{n_e(r=0)}{n_0} = 1 - \frac{a_0^2 \lambda_p^2}{2\pi^2 r_0^2} \left[ 1 + \frac{a_0^2}{2} \right]^{-1/2}, \quad (82)$$

where  $\lambda_p = 2\pi/k_p$ . As an example, a high-density plasma with  $r_0 = 15$   $\mu\text{m}$ ,  $\lambda_p = 5$   $\mu\text{m}$ , and  $a_0 = 7$  gives a density depression along the axis of  $\Delta n_e/n_0 = 5\%$ . This density depression reduces the total number of electrons scattering radiation; hence the total scattered power  $P_n \sim n_e$  will be reduced. Furthermore, in a high-density plasma, the effects of relativistic self-focusing, which occurs for pump laser powers above a critical power  $P_c$  [GW]  $\approx 17(\lambda_p/\lambda_0)^2$ , along with the effects of a density depletion on axis, can provide optical guiding and significantly extend the laser-plasma interaction distance [5,22,23,27,28]. For a relativistic electron beam in the short-pulse limit  $\tau_L \ll \gamma_0^3/\omega_p$ , the magnitude of the electron density perturbation  $\Delta n_e$  due to the ponderomotive force is given by  $|\Delta n_e/n_0| \lesssim (L_0 a_0/\gamma_0 r_0)^2 \ll 1$ , consistent with the discussion at the end of Sec. II.

### D. Plasma dispersion

The frequency of the scattered radiation can be affected by the dispersion properties of electromagnetic radiation in a plasma. In the long-pulse limit, the nonlinear dispersion relation for radiation of frequency  $\omega$  and wave number  $k$  is given [5] by  $\omega^2 \approx c^2 k^2 + \omega_p^2/\gamma_\perp$ . Notice that the dispersion relation is different for radiation within the region of the pump laser pulse  $\gamma_\perp = (1 + a_0^2/2)^{1/2}$  and for radiation propagating in the plasma outside of the pump laser pulse  $\gamma_\perp = 1$ . In particular, for backscattered radiation, the radiation will transit a

counterstreaming boundary region at the trailing edge of the pump laser pulse. As the backscattered radiation transits this boundary region, counterstreaming at the group velocity of the pump laser pulse  $v_g$ , the frequency and wave number of the scattered radiation will be shifted [29]. Hence the detected frequency  $\omega_d$  of the backscattered radiation will be shifted from the frequency at which it is scattered  $\omega$  within the laser pulse. The detected frequency  $\omega_d$  is related to the scattered frequency  $\omega$  by requiring the phase of the scattered radiation to be continuous across the boundary at the trailing edge of the laser pulse [29],  $\omega + v_g k = \omega_d + v_g k_d$ , where  $v_g = c(1 - \omega_p^2/\gamma_\perp \omega^2)^{1/2}$  and a square laser-pulse profile has been assumed for simplicity. Using the dispersion relation to solve for  $k$  and  $k_d$  in terms of  $\omega$  and  $\omega_d$ , respectively, and assuming  $\omega_p^2/\omega^2 \ll 1$ , implies

$$\frac{\omega_d}{\omega} \approx 1 + \frac{\omega_p^2}{4\omega^2} \left[ 1 - \frac{1}{\gamma_\perp} \right]. \quad (83)$$

Hence, for backscattered radiation, the detected frequency will be upshifted from the scattered frequency. Furthermore, depletion of the electron plasma density within the region of the laser pulse by the transverse ponderomotive force will produce an additional upshift for similar reasons. This effect can be approximated by replacing  $1/\gamma_\perp$  with  $n_e/\gamma_\perp n_0$  in Eq. (83), where  $n_e/n_0$  is given by Eq. (82). The maximum frequency upshift for the backscattered radiation can be estimated by  $\Delta\omega_d/\omega \approx \omega_p^2/4\omega^2$ , which is typically small. Radiation scattered in the transverse or forward directions will not experience a frequency shift by these mechanisms.

## VI. LASER SYNCHROTRON SOURCES

Nonlinear Thomson scattering can be used as a mechanism for generating x-ray radiation [1–9]. In such a laser synchrotron source, intense laser pulses are backscattered from a counterstreaming relativistic electron beam or from a dense plasma [5–7]. The LSS has the potential for providing a compact source of tunable, short-pulse radiation, in the soft- to hard-x-ray regime. Two examples of LSS configurations will be discussed, one using a relativistic electron beam to generate hard x-rays (30 keV, 0.4 Å) and the other using a dense plasma to generate soft x-rays (300 eV, 40 Å). In the electron-beam LSS, short wavelengths are generated by exploiting relativistic Doppler factor, i.e.,  $\lambda = \lambda_0/4\gamma_0^2$ , assuming  $\gamma_0^2 \gg 1$  and  $a_0^2 \ll 1$ . In the plasma LSS, short wavelengths are generated by exploiting the nonlinear harmonic factor, i.e.,  $\lambda = \lambda_0/n_c$ , where  $n_c \sim a_0^3 \gg 1$  is assumed. Both configurations will utilize the recently developed solid-state laser technology based on chirped-pulse amplification (CPA) [18–20]. Lasers based on CPA are relatively compact systems capable of delivering ultrahigh powers ( $\geq 10$  TW) and intensities ( $\geq 10^{18}$

W/cm<sup>2</sup>) in ultrashort pulses ( $\lesssim 1$  ps). Currently, the repetition rates of TW CPA systems are limited to  $\lesssim 10$  Hz [19,20]. A summary of the current state of the art in CPA laser technology can be found in Ref. [20].

### A. Electron-beam LSS

An electron-beam LSS configuration consists of back-scattering a linearly polarized laser pulse from a counter-streaming relativistic electron beam. Two important quantities characterizing the resulting synchrotron radiation are the photon flux  $F$ , defined as the number of photons per second within a specified bandwidth, and the photon brightness  $B$ , defined as the phase-space density of the photon flux. The intensity distribution for back-scattered  $\theta=0$  radiation at the fundamental  $n=1$  in the limit  $a_0^2 \ll 1$  and  $\gamma_0 \gg 1$  (i.e.,  $\omega \simeq \bar{\omega} = 4\gamma_0^2\omega_0$ ) is given by

$$\frac{dF_0}{d\Omega} = \alpha_f N_0 \dot{N}_b a_0^2 \gamma_0^2 \times \begin{cases} N_0 (\Delta\omega/\bar{\omega})_S & \text{for } (\Delta\omega/\bar{\omega})_S \ll 1/N_0 \\ 1 & \text{for } (\Delta\omega/\bar{\omega})_S \gg 1/N_0 \end{cases} \quad (85)$$

where  $\alpha_f = \frac{1}{137}$  and  $F_0$  denotes the spectral flux for an ideal electron beam, i.e., zero emittance and energy spread. For an ideal electron beam, the spectral flux with spectral width  $(\Delta\omega/\bar{\omega})_S$  is given by  $F_0 \simeq 2\pi\theta_R^2 (dF_0/d\Omega)$ , where  $\theta_R^2 = \theta_0^2 + \theta_S^2$ , i.e.,

$$F_0 \simeq 2\pi\alpha_f N_0 \dot{N}_b a_0^2 (\Delta\omega/\bar{\omega})_S, \quad (86)$$

which is valid for all values of  $(\Delta\omega/\bar{\omega})_S \leq 1$ . For a realistic electron beam with finite emittance and energy spread, the photon flux  $F$  is identical to the ideal case, i.e.,  $F = F_0$ . The angular density of the flux  $dF/d\Omega$ , however, is reduced, since the photons are now spread out over a larger radiation angle  $\theta_\Sigma$ , where  $\theta_\Sigma$  is given by Eq. (79), i.e.,  $dF/d\Omega \simeq F_0/2\pi\theta_\Sigma^2$ .

The spectral brightness is the phase space density of  $F$ . Hence  $B = F/(2\pi)^2 (R\theta_\Sigma)^2$ , where  $(R\theta_\Sigma)^2$  is the phase space area of the photon beam. The quantity  $R$  is the total effective size of the radiation source and is given by  $R^2 \simeq r_s^2 + (\theta_\Sigma L/4\pi)^2$ , where  $\theta_\Sigma^2 = \theta_R^2 + \theta_i^2$ ,  $\theta_i = (\Delta\omega/\bar{\omega})_i^{1/2}/\gamma_0$ , and  $r_s$  is the smaller of  $r_b$  and  $r_0/2$ . Here  $L$  is the laser-electron interaction distance. The spectral flux and brightness for a nonideal electron beam, in terms of practical units, are given by

$$F \left[ \frac{\text{photons}}{\text{s}} \right] = 8.4 \times 10^{16} f (L/Z_R) I_b [\text{A}] \times P_0 [\text{GW}] (\Delta\omega/\bar{\omega})_S, \quad (87a)$$

$$\frac{d^2 I(0)}{d\omega d\Omega} = \frac{e^2 \omega^2}{8\pi c^2} \lambda_0 N_0 a_0^2 G_1(\omega),$$

$$G_1(\omega) = \frac{N_0}{\bar{\omega}} \left[ \frac{\sin[\pi(\omega - \bar{\omega})N_0/\bar{\omega}]}{\pi(\omega - \bar{\omega})N_0/\bar{\omega}} \right]^2, \quad (84)$$

as indicated by Eq. (39). The angular density of the flux  $dF/d\Omega$ , i.e., the peak number of photons in a specified frequency range  $\omega_1 < \omega \leq \omega_2$  emitted per second per unit solid angle by the micropulse in the forward direction, can be determined from Eq. (84) by integrating over the frequency range  $\Delta\omega_S = \omega_2 - \omega_1$ , multiplying by the electron flux interacting with the laser  $\dot{N}_b$  and by dividing by the energy per photon  $\hbar\bar{\omega}$ . The electron flux interacting with the laser field is given by  $\dot{N}_b = fI_b/e$ , where  $I_b$  is the peak micropulse current and  $f$  is the filling factor, i.e.,  $f = \sigma_0/\sigma_b$  for  $\sigma_0 < \sigma_b$  and  $f = 1$  for  $\sigma_0 \geq \sigma_b$ , where  $\sigma_0, \sigma_b$  are the cross-section areas of the laser and electron beam, respectively. The angular density of the flux is given by

$$B \left[ \frac{\text{photons}}{\text{s mm}^2 \text{ mrad}^2} \right] = 8.1 \times 10^9 f (L/Z_R) (I_b [\text{A}]/r_s^2 [\text{mm}]) \times E_b^2 [\text{MeV}] P_0 [\text{GW}] \left[ \frac{(\Delta\omega/\bar{\omega})_S / (1 + \delta)}{(\Delta\omega/\bar{\omega})_S + (\Delta\omega/\bar{\omega})_T} \right], \quad (87b)$$

where  $\delta = (\theta_\Sigma L/4\pi r_s)^2$  is typically  $\ll 1$ . The interaction length is the smaller of twice the Rayleigh length ( $Z_R = \pi r_0^2/\lambda_0$ ) or one-half the laser pulse length, i.e.,  $L = \min[2Z_R, L_0/2]$ , unless it is further limited by the specific geometry of the experiment.

As an example, consider an electron beam LSS which generates 0.4-Å (30-keV) x rays. For a  $\lambda_0 = 1 \mu\text{m}$  incident laser,  $\lambda = \lambda_0/4\gamma_0^2 = 0.4 \text{ \AA}$  implies that  $\gamma_0 = 79$  ( $E_b = 40 \text{ MeV}$ ), assuming  $a_0^2 \ll 1$ . A CPA laser will be assumed with  $\tau_0 = 2 \text{ ps}$ ,  $P_0 = 10 \text{ TW}$ , and  $r_0 = 50 \mu\text{m}$ , which implies that  $I_0 = 2.6 \times 10^{17} \text{ W/cm}^2$ ,  $a_0 = 0.43$ , and  $Z_R = 7.9 \text{ mm}$ . An electron beam from an rf linac will be assumed with peak current  $I_b = 200 \text{ A}$ , micropulse duration  $L_b/c = 1 \text{ ps}$ , beam radius  $r_b = 50 \mu\text{m}$ , energy spread  $(\Delta E/E_b) = 0.5\%$ , and normalized emittance  $\epsilon_n = 5 \text{ mm mrad}$ . The interaction length is one-half the laser-pulse length  $L = 300 \mu\text{m}$  and the x-ray pulse duration is the micropulse duration  $\tau_x = 1 \text{ ps}$ . The effective bandwidth is  $(\Delta\omega/\bar{\omega})_T \simeq 1.4\%$  (assuming a flat-top laser profile) and this radiation is confined to a cone angle of  $\theta_T \simeq 1.5 \text{ mrad}$ . The total flux with  $(\Delta\omega/\bar{\omega})_S \simeq 1$  within

the cone  $\theta_c \sim 1/\gamma_0 \sim 12$  mrad is  $F = 6.4 \times 10^{21}$  photons/s. The peak brightness with  $(\Delta\omega/\bar{\omega})_S = 0.1\%$  is  $B = 2.9 \times 10^{19}$  photons/s mm<sup>2</sup> mrad<sup>2</sup>. The parameters for this electron beam LSS are summarized in Table I.

For simplicity, a counterstreaming laser–electron-beam geometry has been assumed in which the x-ray pulse length is approximately the electron micropulse length. Shorter x-ray-pulse lengths can be obtained by either reducing the laser Rayleigh length or changing the laser–electron-beam intersection angle [6,8]. (Kim, Chattopadhyay, and Shank [8] have suggested scattering at 90° to obtain ultrashort x-ray pulses.) In principle, both these methods may lead to the production of ultrashort x-ray pulses, with pulse durations on the order of the laser pulse duration.

### B. Plasma LSS

To produce x rays with a  $\lambda_0 \sim 1$   $\mu\text{m}$  laser beam and a stationary plasma, it is necessary to use ultrahigh intensities  $a_0^2 \gg 1$ . Nonlinear Thomson scattering will then occur in the asymptotic limit, in which a near continuum is produced with harmonics extending out to the critical harmonic number  $n_c \sim a_0^3$ , as discussed in Sec. IV. Consider a linearly polarized laser field with  $a_0^2 \gg 1$  interacting with a dense plasma. In the near backscattered direction, the radiation spectrum scattered by a single electron is given by

$$\frac{d^2 I(0)}{d\omega d\Omega} = N_0 \frac{3e^2}{2\pi^2 c} a_0^2 Y(\xi), \quad (88)$$

as indicated by Eq. (75), where  $Y = \xi^2 K_{2/3}^2(\xi)$ ,  $\xi = \omega/\omega_c$ ,  $\omega_c = n_c \omega_0$ , and  $n_c = 3a_0^3/4$ . For a collection of

electrons in a plasma, the total energy radiated is given by  $E_T = N_e I(0)$ , where  $N_e = n_e \sigma_0 L_p$  is the total number of electrons with which the laser interacts,  $n_e$  is the plasma electron density,  $\sigma_0 = \pi r_0^2/2$  is the laser cross section, and  $L_p$  is the laser-plasma interaction distance. Typically,  $L_p \simeq 2Z_R = 2\pi r_0^2/\lambda_0$ , assuming vacuum diffraction. The effects of relativistic optical guiding, however, could substantially increase the interaction distance [5,22,23,27,28]. Geometric arguments indicated that the x-ray pulse length in the backscattered direction is given by  $L_x \simeq 2L_p(1 + L_0^2/4L_p^2)^{1/2} \simeq 2L_p$ , where  $L_0$  is the laser pulse length and  $L_0^2/4L_p^2 \ll 1$  has been assumed. The total power in the backscattered direction is  $P_T = cE_T/L_x$  and the photon flux is  $F = P_T/\hbar\omega$ . Hence the flux intensity, defined to be  $dF/d\Omega$ , for photons in the frequency range  $\Delta\omega$ , about  $\omega$  in the near backscattered direction, is given by

$$dF/d\Omega \simeq (3\alpha_f c/8\pi) N_0 n_e r_0^2 a_0^2 (\Delta\omega/\omega)_S Y(\omega/\omega_c). \quad (89)$$

Recall that the solid angle over which the photons with frequencies near  $\omega_c$  are scattered is relatively large, i.e.,  $\theta_v \sim 2\sqrt{2}/a_0$  in the vertical direction and  $\theta_h \sim \pi/2$  in the horizontal direction. The total photon flux  $F$  can be estimated by multiplying Eq. (89) by the appropriate solid angle over which the photons are to be collected. The brightness  $B$  of the backscattered photons can be estimated by  $B \simeq (dF/d\Omega)/\pi r_0^2$ . In practical units, the photon flux intensity and brightness are given by

$$\frac{dF}{d\Omega} \left[ \frac{\text{photons}}{\text{s mrad}^2} \right] \simeq 3.65 \times 10^{-3} \tau_0 [\text{ps}] \lambda_0 [\mu\text{m}] n_e [\text{cm}^{-3}] \\ \times P_0 [\text{TW}] (\Delta\omega/\omega)_S Y(\omega/\omega_c), \quad (90a)$$

TABLE I. Parameters for an electron-beam LSS.

Incident laser parameters	
Wavelength $\lambda_0$	1 $\mu\text{m}$
Pulse length $L_0/c$	2 ps
Peak power $P_0$	10 TW
Intensity $I_0$	$2.6 \times 10^{17}$ W/cm <sup>2</sup>
Strength parameter $a_0$	0.43
Spot size $r_0$	50 $\mu\text{m}$
Rayleigh length $Z_R$	7.9 mm
Electron pulse parameters	
Beam energy $E_b$	41 MeV
Beam current $I_b$	200 A
Beam pulse length $L_b/c$	1 ps
Beam radius $r_b$	50 $\mu\text{m}$
Beam energy spread $(\Delta E/E_b)_i$	0.5%
beam emittance $\epsilon_n$	5 mm mrad
X-ray pulse parameters	
Photon energy $E_p$	30 keV
Photon pulse length $L_b/c$	1 ps
Peak photon flux <sup>a</sup> $F$	$6.4 \times 10^{21}$ photons/s
Photons/pulse <sup>a</sup> $FL_b/c$	$6.4 \times 10^9$ photons/pulse
Peak brightness (0.1% bandwidth) $B$	$2.9 \times 10^{19}$ photons/(s mm <sup>2</sup> mrad <sup>2</sup> )
Angular spread $\theta_c \sim 1/\gamma$	12 mrad

<sup>a</sup>Includes all photons within the  $\sim 1/\gamma$  angle, implying  $\sim 100\%$  bandwidth.



$$B \left[ \frac{\text{photons}}{\text{s mm}^2 \text{ mrad}^2} \right] \approx 1.80 \times 10^{-17} \tau_0 [\text{ps}] \lambda_0 [\mu\text{m}] n_e [\text{cm}^{-3}] I_0 [\text{W/cm}^2] \times (\Delta\omega/\omega)_S Y(\omega/\omega_c). \quad (90b)$$

As an example, consider a plasma LSS which generates 40-Å x rays. For a  $\lambda_0 = 1 \mu\text{m}$ ,  $\tau_0 = 1 \text{ ps}$  incident laser pulse,  $\lambda = \lambda_0/n_c = 40 \text{ \AA}$  implies  $n_c = 250$  and  $a_0 = 6.9$ , which corresponds to a laser intensity of  $I_0 = 6.6 \times 10^{19} \text{ W/cm}^2$ . Assuming a laser spot size of  $r_0 = 15 \mu\text{m}$  gives a laser power of  $P_0 = 230 \text{ TW}$  and a laser-plasma interaction of length of  $L_p \approx 2Z_R = 1.4 \text{ mm}$ . The x-ray pulse duration is  $\tau_x \approx 2L_p/c = 9.4 \text{ ps}$ . A plasma density of  $n_e = 10^{20} \text{ cm}^{-3}$  implies a flux intensity of  $dF/d\Omega \approx 2.1 \times 10^{16} (\Delta\omega/\omega)_S \text{ photons/(s mrad}^2)$  and a brightness of  $B = 2.9 \times 10^{19} (\Delta\omega/\omega)_S \text{ photons/(s mm}^2 \text{ mrad}^2)$ . The parameters for this plasma LSS are summarized in Table II.

For simplicity, the generation of backscattered ( $\theta = 0$ ) x rays from the interaction of a linearly polarized laser and a plasma has been considered. For this case, the x-ray pulse length is of the order of a few Rayleigh lengths. However, Eqs. (36) and (46) indicate that somewhat larger fluxes of x rays are emitted in the transverse direction ( $\theta = \pi/2$ ) for both circularly and linearly polarized lasers incident on a plasma. Hence, by collimating the transverse emission from a plasma, ultrashort x-ray pulses can be obtained with durations, in principle, on the order of the laser-pulse duration.

## VII. CONCLUSION

A comprehensive theory describing the nonlinear Thomson scattering of intense laser fields from beams and plasmas has been presented. This theory is valid for linearly or circularly polarized incident laser fields of ar-

bitrary intensities and for electrons of arbitrary energies. Explicit expressions for the intensity distributions of the scattered radiation were calculated analytically and evaluated numerically. The space-charge electrostatic potential, which is important in high-density plasmas and prevents the axial drift of electrons, was included self-consistently. Various properties of the scattered radiation were examined, including the linewidth, angular distribution, and the behavior of the radiation spectra at ultrahigh intensities ( $a_0^2 \gg 1$ ). Nonideal effects, such as electron-energy spread and beam emittance, which can broaden the linewidth and angular distribution of the scattered radiation, were discussed. These results were then applied to possible LSS configurations.

The general formula for the frequency of the Thomson backscattered ( $\theta = 0$ ) radiation is given by  $\omega_n = nM_0\omega_0$ , where  $n$  is the harmonic number and  $M_0$  is the Doppler multiplication factor, given by Eq. (17). For a linearly polarized laser, only odd harmonics exist in the backscattered direction, whereas for circular polarization, only the fundamental is nonzero in the backscattered direction. Both odd and even harmonics can exist at off-axis angles. General expressions for the scattered intensity distributions are given by Eqs. (36) and (46). Generation of x rays at short wavelengths require  $M_0 \gg 1$  and/or  $n \gg 1$ . The intrinsic linewidth (i.e., for a cold-electron distribution) of a particular harmonic is given by  $\Delta\omega/\omega_n = 1/nN_0$ , where  $N_0$  is the number of laser periods with which the electrons interact. Since  $N_0 \approx 300$ , small linewidths can be achieved. Nonideal effects, such as energy spread and beam emittance, can broaden the linewidth, as indicated by Eq. (78). When  $a_0^2 \ll 1$ , radiation is scattered only at the fundamental. When  $a_0^2 \gg 1$ , a multitude of harmonics are produced, which results in a near continuum of scattered radiation extending out to a critical harmonic number  $n_c \sim a_0^3$ , beyond which the intensity of the radiation rapidly diminishes. Expressions

TABLE II. Parameters for a plasma LSS.

Incident laser parameters	
Wavelength $\lambda_0$	1 $\mu\text{m}$
Pulse duration $\tau_0$	1 ps
Peak power $P_0$	230 TW
Peak intensity $I_0$	$6.6 \times 10^{19} \text{ W/cm}^2$
Strength parameter $a_0$	6.9
Spot size $r_0$	15 $\mu\text{m}$
Rayleigh length $Z_R$	710 $\mu\text{m}$
Plasma parameters	
Electron density $n_e$	$10^{20} \text{ cm}^{-3}$
Interaction length $2Z_R$	1.4 mm
X-ray pulse parameters	
Wavelength $\lambda_x$	40 $\text{\AA}$
Photon energy $E_p$	310 eV
Photon pulse length $\tau_x$	9.4 ps
Flux intensity (0.1% bandwidth) $dF/d\Omega$	$2.1 \times 10^{16} \text{ photons/(s mrad}^2)$
Brightness (0.1% bandwidth) $B$	$2.9 \times 10^{19} \text{ photons/(s mm}^2 \text{ mrad}^2)$
Photon flux <sup>a</sup> (100% bandwidth) $F$	$6.5 \times 10^{21} \text{ photons/s}$

<sup>a</sup>Includes photons with  $(\Delta\omega/\omega)_S \sim 1$  within a solid angle  $d\Omega \sim \pi\theta^2$  with  $\theta = 10 \text{ mrad}$ .

for the scattered-intensity distributions in the ultraintense limit are given by Eqs. (69) and (75). The polarization of the scattered radiation can be adjusted by changing the polarization of the incident laser. Scattering from an electron beam has the additional advantage of well-collimated radiation. For  $\gamma_0 \gg 1$  and  $a_0^2 \ll 1$ , the upshifted radiation is confined to a cone about the backscattered direction of half-angle  $\theta \simeq (\Delta\omega/\bar{\omega})^{1/2}/\gamma_0$ . Scattering from a plasma has the advantage in the attainability of high electron densities, the photon flux and brightness scaling linearly with density.

An LSS, based on the nonlinear Thomson scattering of intense lasers from electron beams or plasmas, may provide a practical method for producing x-ray radiation. The LSS has a number of potentially unique and attractive features which may serve a variety of x-ray spectroscopic and imaging applications. These features include compactness, relatively low cost, tunability, narrow bandwidth, short-pulse structure, high-photon-energy operation, well-collimated photon beams, polarization control, and high levels of photon flux and brightness. Specific examples of an electron-beam LSS and a plasma LSS were given, as summarized in Tables I and II. An electron-beam LSS, designed to generate 30-keV (0.4-Å) photons with a  $\lambda_0 = 1 \mu\text{m}$  laser beam with  $a_0 < 1$ , requires a 40-MeV electron beam (approximately 300 times lower-energy electrons than required by a conventional, storage-ring synchrotron). This electron-beam LSS generates 1-ps x-ray pulses with a high peak flux ( $\geq 10^{21}$  photons/s) and brightness [ $\geq 10^{19}$  photons/( $\text{s mm}^2 \text{ mrad}^2$ ), 0.1% bandwidth]. A plasma LSS, designed to generate 40-Å (0.3-keV) photons with a  $\lambda_0 = 1 \mu\text{m}$  laser, requires  $a_0 = 6.9$  ( $I_0 = 6.6 \times 10^{19} \text{ W/cm}^2$ ).

This plasma LSS generates  $< 10$ -ps x-ray pulses with a high peak flux ( $\geq 10^{21}$  photons/s,  $10^2 \text{ mrad}^2$ ) and brightness [ $\geq 10^{19}$  photons/( $\text{s mm}^2 \text{ mrad}^2$ ), 0.1% bandwidth]. These peak values of flux and brightness compare favorably to those obtained in conventional synchrotrons. High levels of average flux and brightness are presently limited by laser technology. The recent advances in compact, solid-state lasers, based on chirped-pulse amplification, are capable of generating the ultrahigh intensities ( $a_0 \gtrsim 1$ ) needed to experimentally explore Thomson scattering and LSS x-ray generation in the nonlinear regime.

This paper has been restricted to the discussion and analysis of x-ray generation by the Thomson (incoherent) scattering of intense laser pulses from beams and plasmas. However, for sufficiently cold-electron distributions, it is also possible to generate short-wavelength radiation by the stimulated (coherent) backscattering of intense lasers from beams and plasmas [5,21,30,31]. Stimulated backscattered harmonic generation may provide a method for producing coherent x rays via a laser-pumped free-electron laser (LPFEL). Advances in CPA lasers and in high-brightness electron beams may soon provide the necessary technology to realize compact sources of both incoherent (LSS) and coherent (LPFEL) x rays.

#### ACKNOWLEDGMENTS

The authors would like to acknowledge useful conversations with A. Ting, A. Fisher, and G. Mourou. This work was supported by the Office of Naval Research, the Department of Energy, and the Medical Free Electron Laser Program.

- 
- [1] E. S. Sarachik and G. T. Schappert, *Phys. Rev. D* **1**, 2738 (1970).
- [2] R. E. Waltz and O. P. Manley, *Phys. Fluids* **21**, 808 (1978).
- [3] P. Sprangle, B. Hafizi, and F. Mako, *Appl. Phys. Lett.* **55**, 2559 (1989).
- [4] F. Carroll, J. Waters, R. Price, C. Brau, C. Roos, N. Tolk, D. Pickens, and H. Stephens, *Invest. Radiol.* **25**, 465 (1990).
- [5] P. Sprangle and E. Esarey, *Phys. Fluids B* **4**, 2241 (1992).
- [6] P. Sprangle, A. Ting, E. Esarey, and A. Fisher, NRL Report No. 6973, 1992 (unpublished); Stanford Synchrotron Radiation Laboratory Report No. SSRL 92/02, 1992, p. 280 (unpublished); *J. Appl. Phys.* **72**, 5032 (1992).
- [7] E. Esarey, P. Sprangle, A. Ting, and S. K. Ride, *Nucl. Instrum. Methods A* **331**, 545 (1993).
- [8] K. J. Kim, S. Chattopadhyay, and C. V. Shank (unpublished).
- [9] C. I. Castillo-Herrera and T. W. Johnston, *IEEE Trans. Plasma Sci.* **PS-21**, 125 (1993).
- [10] K. J. Kim, in *Physics of Particle Accelerators*, edited by M. Month and M. Dienes, AIP Conf. Proc. No. 184 (AIP, New York, 1989), Vol. I, p. 565.
- [11] H. Winick, in *Physics of Particle Accelerators* (Ref. [10]), Vol. II, p. 2138.
- [12] S. Krinsky, in *Proceedings of the 1991 IEEE Particle Accelerator Conference*, edited by M. Allen (IEEE, New York, 1991), Vol. I, p. 11.
- [13] A. Jackson, in *Proceedings of the 1991 IEEE Particle Accelerator Conference* (Ref. [12]), Vol. IV, p. 2637.
- [14] B. M. Kincaid, *J. Appl. Phys.* **48**, 2684 (1977).
- [15] S. K. Ride and W. B. Colson, Stanford University High Energy Physics Laboratory Report No. 858, 1979 (unpublished).
- [16] R. Coisson, *IEEE J. Quantum Electron.* **QE-17**, 1409 (1981).
- [17] W. B. Colson, G. Dattoli, and F. Ciocci, *Phys. Rev. A* **31**, 828 (1985).
- [18] D. Strickland and G. Mourou, *Opt. Commun.* **56**, 216 (1985); P. Maine, D. Strickland, P. Bado, M. Pessot, and G. Mourou, *IEEE J. Quantum Electron.* **QE-24**, 398 (1988); M. D. Perry, F. G. Patterson, and J. Weston, *Opt. Lett.* **15**, 1400 (1990); F. G. Patterson and M. Perry, *J. Opt. Soc. Am. B* **8**, 2384 (1991).
- [19] F. Salin, J. Squier, and G. Vaillancourt, *Opt. Lett.* **16**, 1964 (1992).
- [20] G. Mourou and D. Umstadter, *Phys. Fluids B* **4**, 2315 (1992).
- [21] P. Sprangle and E. Esarey, *Phys. Rev. Lett.* **67**, 2021 (1991); E. Esarey and P. Sprangle, *Phys. Rev. A* **45**, 5872 (1992).

- [22] P. Sprangle, E. Esarey, and A. Ting, *Phys. Rev. Lett.* **64**, 2011 (1990); *Phys. Rev. A* **41**, 4463 (1990); A. Ting, E. Esarey, and P. Sprangle, *Phys. Fluids B* **2**, 1390 (1990).
- [23] P. Sprangle, E. Esarey, J. Krall, and G. Joyce, *Phys. Rev. Lett.* **69**, 2200 (1992); E. Esarey, P. Sprangle, J. Krall, A. Ting, and G. Joyce, *Phys. Fluids B* **5**, 2690 (1993).
- [24] J. D. Jackson, *Classical Electrodynamics*, 2nd ed. (Wiley, New York, 1975), Chap. 14.
- [25] *Handbook of Mathematical Functions*, edited by M. Abramowitz and I. A. Stegun (Dover, New York, 1970), p. 369.
- [26] S. P. Goreslavskii, N. B. Narozhny, O. V. Shcherbachev, and V. P. Yakovlev, *Laser Phys.* **3**, 418 (1993).
- [27] G. Z. Sun, E. Ott, Y. C. Lee, and P. Guzdar, *Phys. Fluids* **30**, 526 (1987); T. Kurki-Suonio, P. J. Morrison, and T. Tajima, *Phys. Rev. A* **40**, 3230 (1989); P. Sprangle, A. Zigler, and E. Esarey, *Appl. Phys. Lett.* **58**, 346 (1991); A. B. Borisov, A. V. Borovskiy, O. B. Shiryaev, V. V. Korobkin, A. M. Prokhorov, J. C. Solem, T. S. Luk, K. Boyer, and C. K. Rhodes, *Phys. Rev. A* **45**, 5830 (1992).
- [28] C. Max, J. Arons, and A. B. Langdon, *Phys. Rev. Lett.* **33**, 209 (1974); P. Sprangle, C. M. Tang, and E. Esarey, *IEEE Trans. Plasma Sci.* **PS-15**, 145 (1987); W. B. Mori, C. Joshi, J. M. Dawson, D. W. Forslund, and I. M. Kindel, *Phys. Rev. Lett.* **60**, 1298 (1988); E. Esarey, A. Ting, and P. Sprangle, *Appl. Phys. Lett.* **53**, 1266 (1988).
- [29] C. B. Darrow, C. Coverdale, M. D. Perry, W. B. Mori, C. Clayton, K. Marsh, and C. Joshi, *Phys. Rev. Lett.* **69**, 442 (1992).
- [30] R. H. Pantell, G. Soncini, and H. E. Puthoff, *IEEE J. Quantum Electron.* **QE-4**, 905 (1968); A. Hasegawa, K. Mima, P. Sprangle, H. H. Szu, and V. L. Granatstein, *Appl. Phys. Lett.* **29**, 542 (1976); P. Sprangle and A. T. Drobot, *J. Appl. Phys.* **50**, 2652 (1976); L. R. Elias, *Phys. Rev. Lett.* **42**, 977 (1979); T. M. Tran, B. G. Danly, and J. S. Wurtele, *IEEE J. Quantum Electron.* **QE-23**, 1578 (1987).
- [31] P. Sprangle and E. Esarey (unpublished).